

In light of the current public health crisis and the Federal, State and County Emergency Declarations, and in accord with the provisions of Sec. 610.020, RSMo., the Board of Aldermen recognizes that it would be dangerous and impractical, if not impossible, for its meeting to be physically accessible to the public. The Board also recognizes the need for the public's business to be attended to in order to protect the public health, safety and welfare. In order to balance both the need for continuity of government and protection of the health and safety of our residents, business persons and employees, this meeting of the Board of Aldermen will not be open to public attendance in person. The meeting will be accessible by the public in real time ONLY by following the instructions in the box below.

You are invited to a Zoom webinar.

When: March 15, 2022; 6:00 p.m. Discussion Session; 7:00 p.m. Regular Meeting

Topic: 03/15/2022 Board of Aldermen Meeting.

Join from a PC, Mac, iPad, iPhone or Android device:

Please click this URL to join. <https://us02web.zoom.us/j/88061280573>

Or One tap mobile:

+13017158592,,88061280573# US (Washington DC)

+13126266799,,88061280573# US (Chicago)

Or join by phone:

Dial(for higher quality, dial a number based on your current location):

US: +1 301 715 8592 or +1 312 626 6799 or +1 929 205 6099 or +1 253 215 8782 or +1 346 248 7799 or +1 669 900 6833

Webinar ID: 880 6128 0573

International numbers available: <https://us02web.zoom.us/j/88061280573>

Join from a PC, Mac, iPad, iPhone or Android device:

Persons interested in making their views known on any matter on the agenda should send an email with their comments to the City Clerk at jfrazier@claytonmo.gov. All comments received will be distributed to the entire Board before the meeting.

Thank you for your understanding and patience as we all try to get through these difficult and dangerous times.

CITY OF CLAYTON BOARD OF ALDERMEN
DISCUSSION SESSION – 6:00 P.M.
TUESDAY, MARCH 15, 2022
VIRTUAL ZOOM MEETING
CLAYTON, MO 63105

1. Clayton Equity Commission (CEC) annual report.
 2. Mixed-use Planned Unit Development (PUD) regulations.
-

CITY OF CLAYTON BOARD OF ALDERMEN
TUESDAY, MARCH 15, 2022
VIRTUAL ZOOM MEETING
CLAYTON, MO 63105
7:00 P.M.

ROLL CALL

MINUTES – February 8, 2022 and February 18, 2022

PUBLIC REQUESTS & PETITIONS

CITY MANAGER REPORT

1. FY2022 1st Quarter Financial report.
2. Ordinance – To approve the FY2022 1st Quarter Budget amendment. (Bill No. 6891)
3. Resolution – To approve the Fund Balance Policy. (Res. No. 2022-07)
4. Resolution – To approve the submittal of a Hazardous Moving Violation Enforcement Grant application. (Res. No. 2022- 08)
5. Resolution – To approve the submittal of a Driving While Intoxicated Enforcement Grant application. (Res. No. 2022 - 09).

EXECUTIVE SESSION

1. Legal issues and negotiation (pursuant to Sections 610.021(1), (12) RSMO)

Subject to a motion duly made in open session and a roll call vote pursuant to Section 610.022 the Board of Aldermen may also hold a closed meeting, with a closed vote and record for one or more of the reasons as authorized by Section 610.021(1), (2) and (3) Revised Statutes of Missouri, relating to legal issues, real estate and/or personnel, negotiation of a contract pursuant to Section 610.021(12) RSMO., proprietary information pursuant to Section 610.021(15), and/or information related to public safety and security measures pursuant to Section 610.021(18) and (19) RSMO.

CLAYTON
COMMUNITY EQUITY COMMISSION

2021 ANNUAL REPORT

OVERVIEW

The Community Equity Commission ([Bill No. 6759](#)) was established to provide the Mayor and Board of Aldermen with insight and guidance on matters of equity, diversity and inclusion.

The Ordinance creating the Commission provides it shall serve in an advisory role to the Mayor and Board of Aldermen on ways to:

1. *“Promote community awareness and education on the value of diversity to the community;*
2. *Promote equity on the basis of economic status, race, color, religion, gender, national origin, ancestry, marital status, lawful source of income, physical or mental disability, familial status, sexual orientation, and gender identity;*
3. *Evaluate and develop actionable equity recommendations to be used to examine and strengthen policies, practices, services and programs, which will establish the Commission as a community resource and regional leader;*
4. *Promote responsiveness of government to concerns of all minority groups and others that may be subject to bias or discrimination in the community; and*
5. *Encourage the creation and continuation of community equity, diversity and inclusion awareness efforts, programs, and activities that are available and accessible to all community members.*

Commission Members

The Ordinance provides for 7 Commissioners. During 2021 they were: Laura Horwitz, Chair; Frances Pires, Stuart Berkowitz, Yvonne Tisdell, Christine Schmiz, Ted Wheeler, and Ben Uchitelle.

Ex-Officio and Aldermanic Liaison Members

The Ordinance further provides for the appointment of Ex-Officio and Aldermanic Liaison Members. During 2021, Ex-Officio Members were Dr. Sean Doherty of the Clayton School District, Cameron Poole of the Clayton School District, JoAnna Schooler of Washington University, Ellen Gale of the Clayton Chamber of Commerce, The Reverend Laurie Anzilotti of the Episcopal Church of the Holy Communion, and Kenneth Murdock of St. Louis County Government.

Alderwoman Joanne Boulton, Alderwoman Susan Buse, and Alderman Gary Feder were the Board of Aldermen Liaisons. Alderman Dan Sokol also served as a Board Liaison until his untimely passing away in April 2021.

Accomplishments and Activities

- Continued the Community Equity Commission (CEC) reflection tradition: This tradition is where from time-to-time CEC stops for an appropriate reflection to consciously give consideration and appreciation to individuals who contributed to equity and inclusion. In 2021, the CEC recognized: Rosa Parks; thirteen deceased diverse military members including, Missouri's own Cpl. Jared Schmitz, and General Colin Powell, First African American Secretary of State and Chairman of the Joint Chief of Staff.
- Mission Statement: Recommended changes to Clayton's Mission Statement to reflect the goals of Equity, Diversity and Inclusion. New Mission Statement adopted by the Board of Aldermen.
- Supplier Diversity Initiative: An Administrative Advisory Subcommittee was formed to develop a strategy and process for the implementation of a Supplier Diversity initiative. The purpose is to ensure that Clayton seeks suppliers from as broad a spectrum of companies as possible. The plan has been temporarily paused due to staff availability, including the retirement and replacement of the City's Finance Director, the City's annual audit, and staffing shortages related to the pandemic.
- Hiring: CEC members have participated in extensive hiring processes for City staff, including Firefighter/Paramedics and the Fire Chief. The CEC's participation seeks to ensure that an additional equity lens is applied to applicants for City positions.
- Subdivision Indentures: Upon the recommendation of the CEC, the Board of Aldermen and the City reached out to neighborhood trustees to advocate for the removal of illegal and offensive racial residential restrictions.
- Collaboration with Clayton Police Department: Throughout 2021, Commission members met with Chief Smith to learn the activities of the Police Department and how the CEC might be supportive. Chief Smith has been extremely helpful in these activities. In addition, Chief Smith continues to provide quarterly updates on traffic stop analysis.
- Municipal Judge Selection Process: Created and made recommendations from an equity lens for the selection process of Clayton's Municipal Judge. Adopted by Board the Aldermen.
- Housing Issues: Received an informative presentation on Clayton's housing equity report card. Also focused on modifications to the City's planned unit development points system related to affordable housing. At year end, the CEC

created a Housing Subcommittee to examine affordable and equitable housing matters in 2022.

- Neighborhood Watch Signs: The CEC recommended the removal of outdated Neighborhood Watch Signs, which do not support the City's efforts to become a more welcoming city. The Board of Aldermen agreed and staff removed approximately 70 signs from neighborhoods throughout Clayton.
- Municipal Court Ordinances: The Municipal Law Subcommittee reviewed a number of Clayton ordinances and shared that information with Clayton officials.
- Clayton Connection, City Views and Other Media: Throughout the year, the CEC continued to furnish Diversity, Inclusion and Equity information for various Clayton publications and media.
- Mutual Aid: Collaboration between the CEC and Police Department led to the addition of the following important provision to its Standards of Conduct General Order: *"Employees shall also abide by all department policies and general orders when performing law enforcement duties outside the City of Clayton. Employees should contact an on-duty Clayton supervisor for guidance if they are being ordered to violate one of our policies or general orders while working under the supervision of an outside agency."*
- Personnel Manual: The Administrative Advisory Subcommittee and City staff worked together to develop a Diversity, Equity and Inclusion statement, which was added to the Personnel Manual of the City.
- Citizen Survey: The CEC recommended the addition of questions to the biennial Citizen Survey. The Board of Aldermen agreed with the recommendation and the questions were added to the survey instrument. The CEC and City can now benchmark and track response trends for future years.

Respectfully Submitted

Clayton Community Equity Commission

Laura Horwitz, Chair

Yvonne Tisdell, Stuart Berkowitz, Frances Pires, Christine Schmitz, Ted Wheeler and
Ben Uchitelle



City Manager
10 N. Bemiston Avenue
Clayton, MO 63105

DISCUSSION ITEM

TO: MAYOR HARRIS AND BOARD OF ALDERMEN
FROM: DAVID GIPSON, CITY MANAGER
DATE: MARCH 15, 2022
SUBJECT: PLANNED UNIT DEVELOPMENT MIXED-USE REGULATIONS

The Board of Aldermen discussed the feasibility and impact of the requirement of first-floor retail uses for all mixed-use Planned Unit Developments (PUD) at the 2021 Board Retreat. The PUD regulations currently state “the first floor of any mixed-use building shall be dedicated to commercial land uses.” The ordinance defines commercial land uses as “retail, restaurant, entertainment venues, etc.” Under this regulation, any first floor uses other than retail, restaurant, or entertainment uses are prohibited.

Given the Board’s stated desire to align regulations with market realities and allow greater flexibility within mixed-use buildings, staff has drafted revisions to the PUD regulations that would require commercial uses to be included on the first floor, but not require the entire first floor be dedicated to commercial uses. This would allow office, residential, or other non-commercial land use categories to be considered as acceptable first floor uses in future projects. Most importantly, the revisions give the Board of Aldermen clear discretion to determine a reasonable ratio of uses to be located within a mixed-use PUD.

In addition to the revisions mentioned above, staff has also taken this opportunity to clarify or restate other provisions within the PUD ordinance. These changes are not substantive and only intended to aide in the interpretation and enforcement of the requirements.

Section 405.1370 General Requirements.

- A. *Distinct Zoning District.* Planned Unit Development (PUD) is a distinct zoning district and requires a request for a change in the zoning district designation, as described by the official zoning map. It is intended to provide a means for the redevelopment of an area in a unified land development that will improve the quality of the subject properties and have a beneficial effect on adjacent properties.
- B. *Types Of PUD Districts.* There are two (2) types of PUD zoning districts:
1. Residential.
 2. Mixed Use.
- C. *Residential.* Rezoning to a residential planned unit development district is allowed only in areas where the existing zoning designation is Residential, ~~where~~ and when (a) one hundred percent (100%) of the gross square ~~feet~~footage of the proposed buildings is to be used for residential purposes, ~~and~~ when (b) the project is developed on a lot at least thirty thousand (30,000) square feet in size.
- D. *Mixed Use.* Rezoning to a mixed use planned unit development district is allowed only (a) in areas where the existing zoning designation is non-residential, ~~and~~ the proposed building(s) totals fifty thousand (50,000) gross square feet or more, ~~and/or~~ (b) in overlay districts requiring planned unit development designation.

~~Mixed use planned unit developments are appropriate when the project incorporates at least two (2) of the following four (4) categories of use and the existing zoning allows for mixed use development.~~

A mixed-use PUD must contain a reasonable ratio of areas devoted to distinct land use categories as determined by the Board of Aldermen in the exercise of its legislative discretion. In determining the reasonableness of the areas devoted to distinct uses, the Board of Aldermen may take into account the general character of the area within which the project site is located; the overall size of the project; the configuration of the proposed building(s) and the site layout; the need for non-residential services or a category of use in the area; and other factors which the Board finds relevant to such an analysis in a given case.

The first floor of any mixed-use building shall ~~be dedicated to~~include commercial land uses with public entrances to these uses ~~that front along a major~~from an adjoining street; non-residential uses are also allowed on other floors of a mixed-use building.

- ~~1.—Office use;~~
- ~~2.—Commercial land uses such as, retail, restaurant, entertainment venues, etc.;~~
- ~~3.—Residential use;~~
- ~~4.—Hotel;~~

~~5. — Hotel and public restaurant (shall qualify as a mixed use project and the gross square foot limitation per 405.1370(E) shall not apply).~~

- E. *Minimum Standards To Apply For Planned Unit Development Rezoning.* At a minimum, the proposed development shall meet the standards for LEED Silver certification; or an equivalent standard as determined by the Board of Aldermen. The project does not have to achieve actual LEED certification; however, the developer must submit the LEED checklist and documentation to the City as part of the application process, approved by a LEED Accredited Professional (LEED-AP), that shows that the project will comply with LEED Silver requirements.
- F. *Uses Allowed.* All permitted uses in the underlying district(s) shall be allowed unless otherwise specified in the PUD ordinance. They Allowable uses shall be identified in the PUD document through a cross reference to applicable underlying zoning district(s).
- G. *Additional Uses.* The Board of Aldermen may authorize additional uses in the zoning district in which the planned unit development is located as provided below.
1. *Conditional Uses.* Any conditional use allowed in the underlying district(s) may be included within a planned unit development, upon making each of the required findings for conditional use permits in Section **405.840**, as follows:
 - ~~2.~~(a) *Residential Uses.* Dwelling units that require a conditional use permit in the underlying zoning district shall not be required to submit a separate conditional use permit and approval shall be considered as a part of the findings and review of the planned unit development.
 - ~~3.~~(b) *Non-Residential Uses.* Non-residential uses that require a conditional use permit in the underlying zoning district may submit~~be allowed subject to submission of~~ a separate conditional use permit application and findings, per supporting approval for each use, as a part of the planned unit development review. Uses approved through this process shall then be deemed permitted by right in the PUD ~~except for; provided, however, that~~ entertainment uses pursuant to described in the Entertainment District Overlay which are approved in a PUD ordinance shall not ~~be eligible to apply for a conditional use permit concurrent with the PUD rezoning process to become~~ permitted ~~by right but for purposes of identifying allowable uses in the PUD, but~~ shall be identified in the PUD document as allowable~~remain conditional and allowed to commence and continue only~~ with the approval of a valid conditional use permit.
- H. *Site Layout.* A PUD must achieve a better site design and public benefits. A PUD may not be simply used as a method to avoid zoning regulations or subdivision regulations such as required setbacks, maximum Floor Area Ratio (FAR) or building height.
- I. *Authority To Modify Regulations.* ~~The City~~ Notwithstanding anything in this Section to the contrary, the Board of Aldermen shall continue have the authority in approving the PUD to ~~alter, improve, or create anew~~ adopt modifications to development standards from any provisions of the Clayton Zoning Regulations, Overlay and Urban Design Zoning Districts and Subdivision Regulations, pursuant to Section **405.1420**, below.

- J. *Ownership.* The tract of land to be developed as a PUD must be and remain under single ownership or control. The property included in the PUD shall be planned and ~~development~~developed as a single unit/phase.
- K. *Plat Required.* The planned unit development project shall consist of and shall be conterminous with a single lot described in a recorded ~~plat of~~ subdivision plat.

THE CITY OF CLAYTON

Board of Aldermen
Virtual Zoom Meeting
February 8, 2021
7:00 p.m.

Minutes

NOTE: In accord with the provisions of Section 610.015, RSMo., and multiple declarations of emergency at every level of government, and the prohibition on gatherings of 10 or more persons due to the Coronavirus pandemic, normal requirements for voting in the Board meeting were suspended. Accordingly, votes were taken as if all Board members were physically present and in attendance at the meeting.

Mayor Harris called the meeting to order and requested a roll call. The following individuals were in attendance:

Aldermen: Rich Lintz, Ira Berkowitz, Bridget McAndrew, Susan Buse, Becky Patel, and Gary Feder.

Mayor Harris
City Manager Gipson
City Attorney O'Keefe

**Motion made by Alderman McAndrew to approve the January 25, 2022 minutes.
Alderman Berkowitz seconded.**

Motion to approve the minutes passed unanimously on a voice vote.

PUBLIC REQUESTS AND PETITIONS

None

AN ORDINANCE TO CONSIDER APPROVING AN EASEMENT TO FACILITATE
CONSTRUCTION OF CERTAIN IMPROVEMENTS RELATED TO THE BEMISTON PLACE
REDEVELOPMENT PROJECT

City Manager Gipson reported that Bemiston Place, LLC has requested both aerial and subsurface easements to facilitate construction of their new development adjacent to City rights-of-way associated with the consolidated lot of Bemiston Place as recorded in Plat Book 369, Pages 525-526 in the land records of St. Louis County, Missouri. The easements are: (a) temporary aerial easements for construction cranes within the site with an operational radius that extends into air space above City rights-of-way, and (b) permanent subsurface easements for the placement of tieback and shoring walls. These easements are illustrated in the attached Exhibits in the Easement Agreement.

Matt Malick, Director of Public Works, was in attendance to answer questions.

Alderman Lintz introduced Bill No. 6888, to approve an easement for construction of certain improvements related to the Bemiston Place project to be read for the first time by title only. Alderman Berkowitz seconded.

City Attorney O’Keefe reads Bill No. 6888, first reading, an Ordinance Approving an Easement to Facilitate Construction of Certain Improvements Related to the Bemiston Place Redevelopment Project by title only.

The motion passed unanimously on a voice vote.

Motion made by Alderman Lintz that the Board give unanimous consent to consideration for adoption of Bill No. 6888 on the day of its introduction. Alderman Berkowitz seconded.

The motion passed unanimously on a voice vote.

Alderman Lintz introduced Bill No. 6888, to approve an easement for construction of certain improvements related to the Bemiston Place project to be read for the second time by title only. Alderman Berkowitz seconded.

City Attorney O’Keefe reads Bill No. 6888, first reading, an Ordinance Approving an Easement to Facilitate Construction of Certain Improvements Related by title only.

The motion passed on a roll call vote: Alderman Lintz – Aye; Alderman Berkowitz – Aye; Alderman Buse – Aye; Alderman McAndrew – Aye; Alderman Patel – Aye; Alderman Feder – Aye; and Mayor Harris – Aye. The bill, having received majority approval was adopted and became Ordinance No. 6747 of the City of Clayton.

AN ORDINANCE TO CONSIDER APPROVING AN EASEMENT FOR THE INSTALLATION AND MAINTENANCE OF PUBLIC ELECTRICAL INFRASTRUCTURE ON THE CLARENDALE CLAYTON SITE

City Manager Gipson reported that the purpose of this easement from Clarendale Clayton LLC to the City of Clayton is to allow the installation and future access for the electrical service and panel that serves city street lighting.

Due to existing conditions encountered in the field, Clarendale Clayton, LLC has requested a modification to the approved site plan which relocated the public street lighting electric service from the right-of-way onto their parcel. Clayton Public Works determined this design change to be acceptable, contingent on the execution of a permanent easement to the City. The easement facilitates the installation of an electric panel and associated electric service line for public street lighting and allows city staff and contractors future access to service this panel.

Matt Malick, Director of Public Works, was in attendance to answer questions.

Alderman Lintz introduced Bill No. 6889, to approve an easement for the installation and maintenance of public electrical infrastructure for Clarendale Clayton to be read for the first time by title only. Alderman Berkowitz seconded.

City Attorney O’Keefe reads Bill No. 6889, first reading, an Ordinance Approving an Easement for the Installation and Maintenance of Public Electrical Infrastructure on the Clarendale Clayton Site by title only.

The motion passed unanimously on a voice vote.

Motion made by Alderman Lintz that the Board give unanimous consent to consideration for adoption of Bill No. 6889 on the day of its introduction. Alderman Berkowitz seconded.

The motion passed unanimously on a voice vote.

Alderman Lintz introduced Bill No. 6889, to approve an easement for the installation and maintenance of public electrical infrastructure for Clarendale Clayton to be read for the second time by title only. Alderman Berkowitz seconded.

City Attorney O’Keefe reads Bill No. 6889, second reading, an Ordinance Approving an Easement for the Installation and Maintenance of Public Electrical Infrastructure on the Clarendale Clayton Site by title only.

The motion passed on a roll call vote: Alderman Lintz – Aye; Alderman Berkowitz – Aye; Alderman Buse – Aye; Alderman McAndrew – Aye; Alderman Patel – Aye; Alderman Feder – Aye; and Mayor Harris – Aye. The bill, having received majority approval was adopted and became Ordinance No. 6748 of the City of Clayton.

AN ORDINANCE TO CONSIDER APPROVING A MANAGEMENT AGREEMENT WITH 5TH SET, LLC FOR TENNIS MANAGEMENT SERVICES AT SHAW PARK TENNIS CENTER

City Manager Gipson reported that in 2013, due to the departure of a long-term tennis professional, the City of Clayton (City) determined that they would explore contracting out the management and programming at the Shaw Park Tennis Center. Since that time, Frontenac Racquet Club has been under contract to provide those services. Over the past nine years this arrangement has worked well, with usage and revenue nearly doubling during this time period.

As it has been nine years since this service was last bid, it was decided that we would issue a Request for Proposals to test the market. We received two responses to the RFP; one in the name of Frontenac Racquet Club and one from 5th Set, LLC, a corporation that has been established by Clark Taylor, the manager of our Tennis Center over the past five years. Both submittals contained the same proposed hours and division of fees. 5th Set, LLC was set up by Clark Taylor to allow for the option of contracting directly with this corporation and can meet all the City’s requirements of the bid. Frontenac Racquet Club is supportive of making this change, as it essentially removes them as the middleman in this agreement.

Toni Siering, Director of Parks, was in attendance to answer questions.

Alderman Lintz introduced Bill No. 6890, to approve a Shaw Park Tennis Management Service agreement to be read for the first time by title only. Alderman Berkowitz seconded.

City Attorney O’Keefe reads Bill No. 6890, first reading, an Ordinance Providing for the Execution of a Management Agreement with 5th Set, LLC for Tennis Management Services at Shaw Park Tennis Center by title only.

The motion passed unanimously on a voice vote.

Motion made by Alderman Lintz that the Board give unanimous consent to consideration for adoption of Bill No. 6890 on the day of its introduction. Alderman Berkowitz seconded.

The motion passed unanimously on a voice vote.

Alderman Lintz introduced Bill No. 6890, to approve a Shaw Park Tennis Management Service agreement to be read for the second time by title only. Alderman Berkowitz seconded.

City Attorney O’Keefe reads Bill No. 6890, first reading, an Ordinance Providing for the Execution of a Management Agreement with 5th Set, LLC for Tennis Management Services at Shaw Park Tennis Center project by title only.

The motion passed on a roll call vote: Alderman Lintz – Aye; Alderman Berkowitz – Aye; Alderman Buse – Aye; Alderman McAndrew – Aye; Alderman Patel – Aye; Alderman Feder – Aye; and Mayor Harris – Aye. The bill, having received majority approval was adopted and became Ordinance No. 6749 of the City of Clayton.

OTHER

Alderman Lintz provided an update on the following:

- Sustainability Committee
- Uniformed Employees Retirement Fund Board (UERF) and Non-Uniformed Employees’ Retirement Fund Board (NUERF)

Alderman Berkowitz provided an update on the following:

- Plan Commission/ARB

Alderman McAndrew provided an update on the following:

- Parks & Recreation Commission

Alderman Buse provided an update on the following:

- Community Equity Commission

Alderman Feder provided an update on the following:

- Forsyth Pointe
- NUERF

Mayor Harris provided an update on the following:

- Community Landscape Task Force
- MYAC

Motion made by Alderman Feder that the Board adjourn to a closed meeting, with a closed vote and record, as authorized by Section 610.021(1), (2) and (3) Revised Statutes of Missouri, relating to legal issues, real estate and/or personnel, and to discuss matters related to negotiation of a contract pursuant to Section 610.021(12), RSMo. and/or proprietary information pursuant to Sec. 610.021(15), and/or information related to public safety and security measures pursuant to Section 610.021(18) and (19) RSMO. Alderman Patel seconded.

The motion passed on a roll call vote: Alderman Lintz – Aye; Alderman Berkowitz – Aye; Alderman Buse – Aye; Alderman McAndrew – Aye; Alderman Patel – Aye; Alderman Feder – Aye; and Mayor Harris – Aye.

The meeting adjourned at 7:40 p.m.

Mayor

ATTEST:

City Clerk

THE CITY OF CLAYTON

Board of Aldermen Strategic Discussion Session
Virtual Zoom Meeting
February 18, 2022
4:56 p.m.

Minutes

NOTE: In accord with the provisions of Section 610.015, RSMo., and multiple declarations of emergency at every level of government, and the prohibition on gatherings of 10 or more persons due to the Coronavirus pandemic, normal requirements for voting in the Board meeting were suspended. Accordingly, votes were taken as if all Board members were physically present and in attendance at the meeting.

The following individuals were in attendance:

Board of Aldermen:

Mayor Harris; Aldermen: Rich Lintz, Bridget McAndrew, Ira Berkowitz, Susan Buse, Becky Patel, and Gary Feder.

Staff:

City Manager Gipson, Gary Carter, Director of Economic Development, Assistant City Manager Andrea Muskopf, City Clerk June Frazier, Communications Manager Gabrielle Macaluso, and City Attorney Kevin O'Keefe.

SPECIAL EVENTS

Gary Carter reported that the Cardinal's Home Opener event is scheduled for April 6 and there are deposits pending. Due to the MLB lock-out/negotiations the opening date is in jeopardy of not happening on the scheduled date.

The Board was in consensus to continue the event as planned.

The full presentation on the City of Clayton 2022 Special Events will be presented at a meeting in March.

STRATEGIC PRIORITIES

Mayor Harris raised the question and provided examples on focusing on the public benefits related to Planned Unit Development and Special Development Districts to establish priorities.

No action was taken.

There being no further discussion the meeting adjourned at 5:20 p.m.

Respectfully submitted by June Frazier, City Clerk



City Manager
10 N. Bemiston Avenue
Clayton, MO 63105

TO: MAYOR HARRIS; BOARD OF ALDERMEN
FROM: DAVID GIPSON, CITY MANAGER
KAREN DILBER, DIRECTOR OF FINANCE
DATE: MARCH 15, 2022
SUBJECT: FISCAL YEAR 2022 1ST QUARTER FINANCIAL REPORT

Attached please find the 1st Quarter Financial Report for Fiscal Year 2022 (FY22). This report includes the budget amendment approved by the Board at this meeting. Below are highlights for the quarter ended December 31, 2021. The City's revenues are increasing as compared to last fiscal year which is related to the end of pandemic restrictions and inflation.

GENERAL FUND

- Deficit - We started this year with a projected general fund deficit of \$758,353. With the approved expenditure reductions, the projected deficit is \$720,213.
- Property Tax – The majority of property tax revenue is received in the first two quarters of the fiscal year. Revenue to date is 72.3% higher than last year's receipts. The next quarter's receipts will present a clearer picture of property tax collections.
- Sales Tax – Sales tax revenue was 18.2% higher than this period last year. Sales tax receipts were back to pre-pandemic levels
- Utility Tax – Utility taxes are up 27.9% from last year in all areas, generally due to increased occupancy in office buildings.
- Parking Revenue – This revenue is up 43.2% when compared to this time last year. This is related to increased economic activity in the downtown area as pandemic restrictions are lifted and people return to working in the office.
- Parks & Recreation – Revenues in this area appear to be down; however, the lease revenue has been reclassified and is grouped into the miscellaneous revenue line item. All other program and membership revenue are consistent with this time last year.

OTHER FUNDS

- Capital Improvement Fund and Debt Service Funds - Overall, revenues in the Capital Improvement fund were up 18.7% from last year's level with sales and use taxes having increased by 38.7% over the same time period last year.

- Debt Funds --Receipts excluding other financing sources, are 71.1% higher than this time last year. In addition, when comparing Debt Service fund revenue to last year the City had significant proceeds from the bond refunding which occurred in the first quarter of this year.

For comparison purposes, we have included data comparing the FY22 1st quarter activity to both FY21 and FY20, to get a better idea of where the City is financially when compared to pre-pandemic levels. We have also presented a similar comparison on reported sales tax by industry. This data provides further confirmation that the City's revenues are increasing.

Please contact us if you have any questions on this financial information.

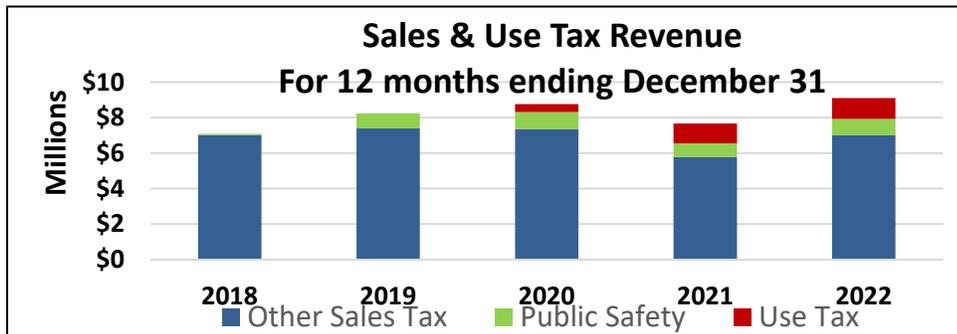
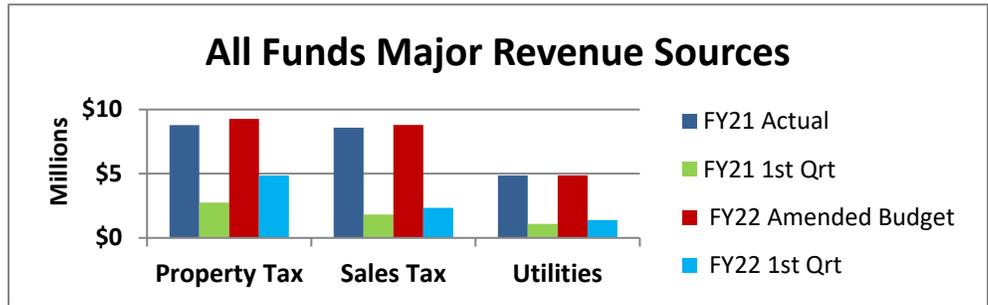
CITY OF CLAYTON

All Funds Report in Brief

FY 2022 Through 1st Quarter

Property tax revenue is collected in the General Fund, Special Business District Fund, and the debt service funds from the 2014 and 2019 bonds. Property tax receipts for the first quarter of Fiscal Year 2022 (FY22) are

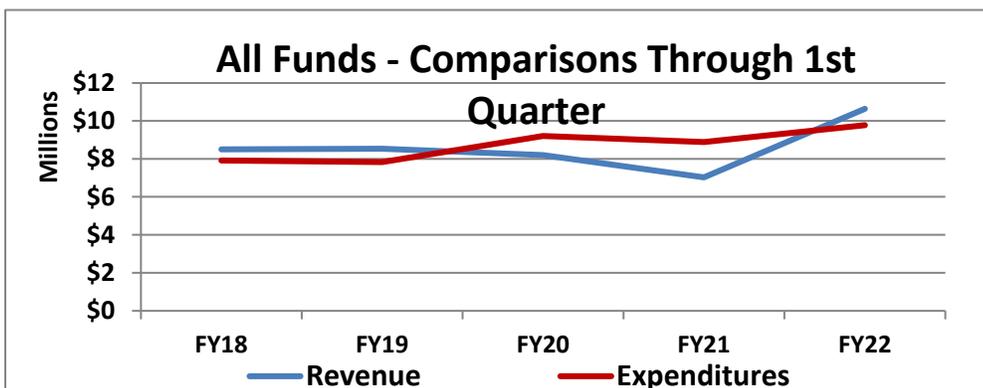
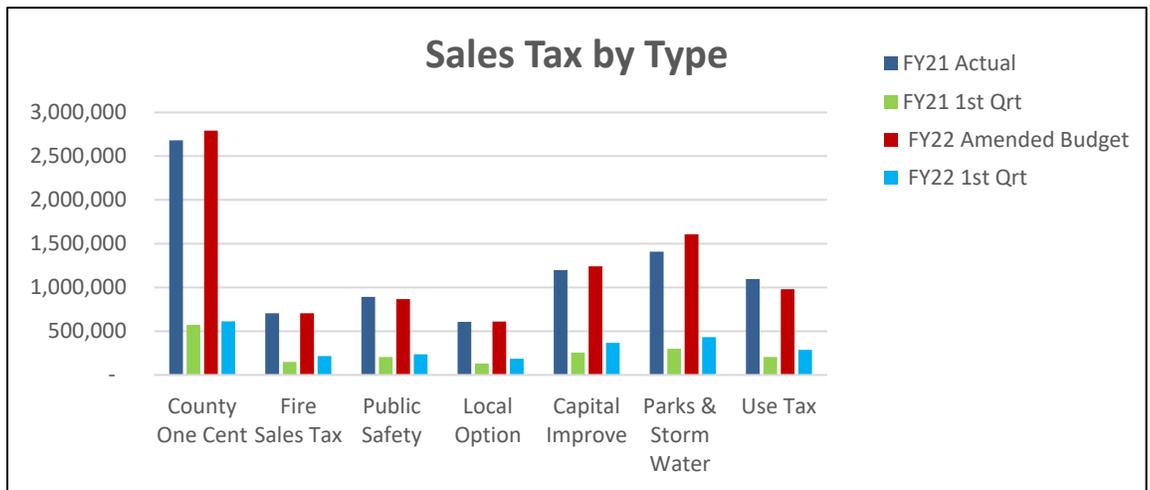
76.6% higher than the first quarter of Fiscal Year 2021 (FY21). Sales tax revenue in all funds is up 28.4% compared to the same period in FY21, and utility tax revenue is up 27.9%.



See above for the status of sales tax revenue for the 1st quarter of the fiscal year. For a better comparison of sales tax at this point in the year, this bar graph provides a comparison of a rolling 12-month period ending December 31 of each year. For the 12

months ending December 31, 2021, sales tax revenue was up 18.7% compared to the 12 months ending December 31, 2020.

This graph shows the various types of sales tax collected.



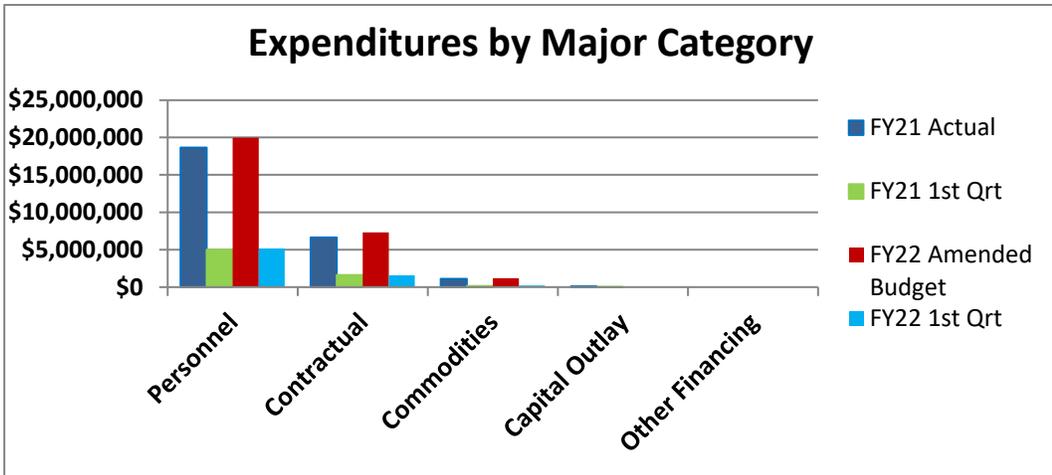
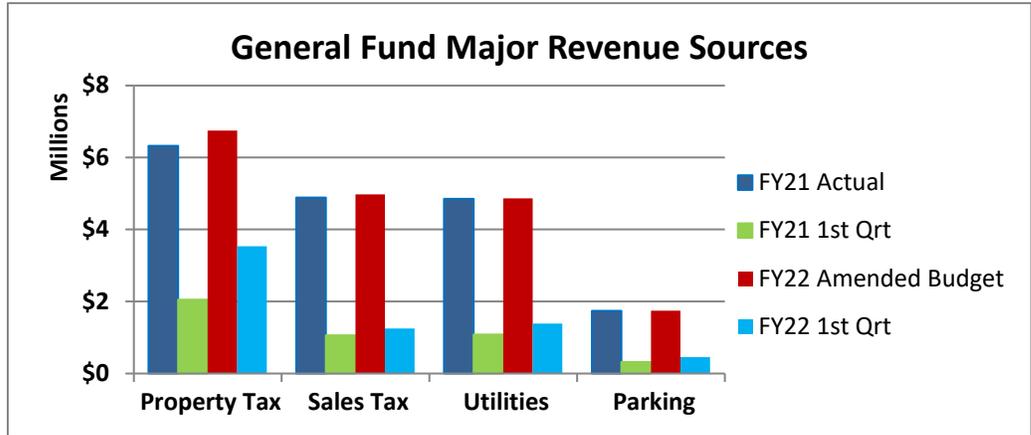
This line graph provides a comparison of revenues and expenditures through the last five fiscal years. This graph excludes "Other Financing Sources and Uses" such as interfund transfers, bond proceeds and the sale of assets.

CITY OF CLAYTON

General Fund Report in Brief

FY 2022 Through 1st Quarter

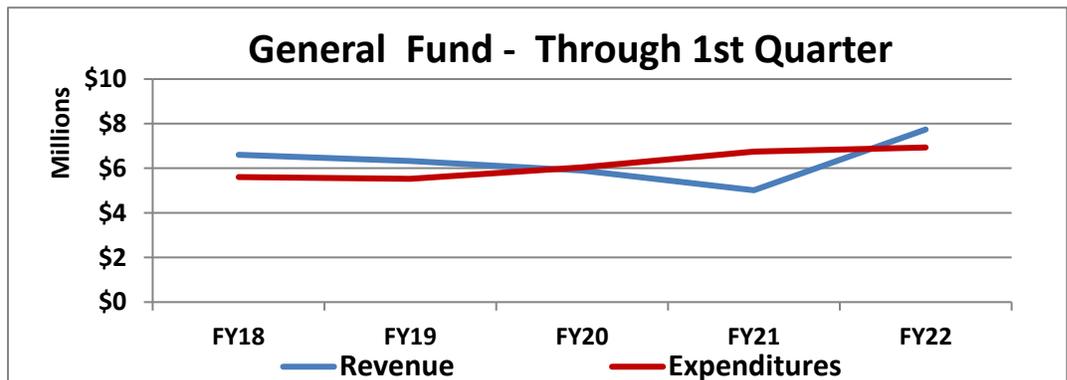
General Fund property tax revenue for FY22 is 72.3% higher than 1st quarter of FY21. Sales tax revenue includes a 1% general tax, a 0.25% local option tax, a 0.25% fire service tax, and a 0.50% public safety tax. Total General Fund sales tax revenue is 18.2% higher than this time last year. Utility tax revenue which includes electric, gas, water, telephone and cable utilities is up 27.9% when compared to last year, while parking is up 43.2%.



This bar graph displays a comparison, by category, of the General Fund actual expenditures for the last two years. Personnel costs, consisting of salaries and benefits, comprise the largest category of expenditures in the General Fund.

Personnel costs for FY22 were \$167,099 or 3.3% higher than in FY21's first quarter. Contractual services are 2.2% lower and Commodities are 40.2% higher when compared to this quarter in the prior year.

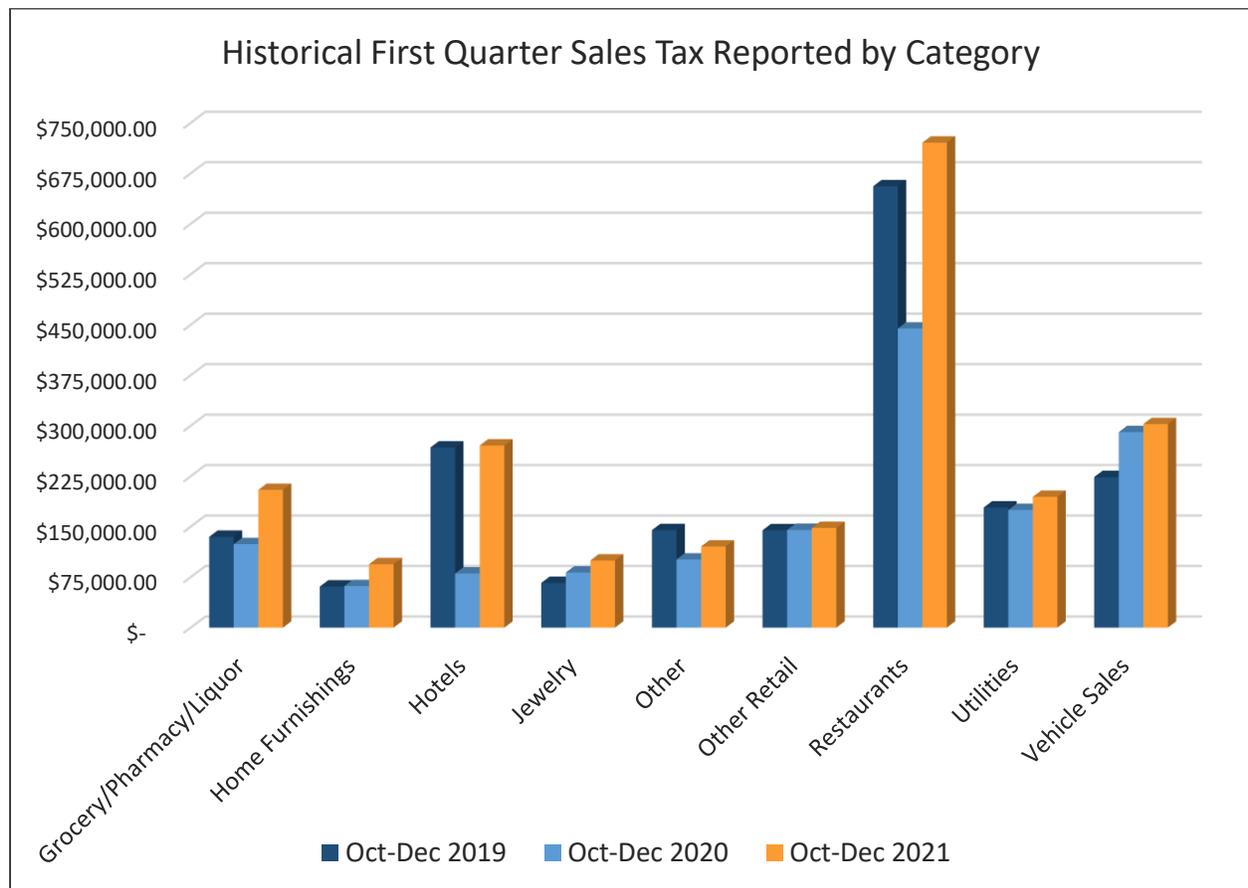
This line graph provides a comparison of General Fund revenue and expenditures for the last five fiscal years. This graph does not include "Other Financing Sources and Uses" such as sale of assets and interfund transfers.



Historical First Quarter Sales Tax Reported by Category

First quarter sales tax reports indicate that sales taxes are increasing across all industries.

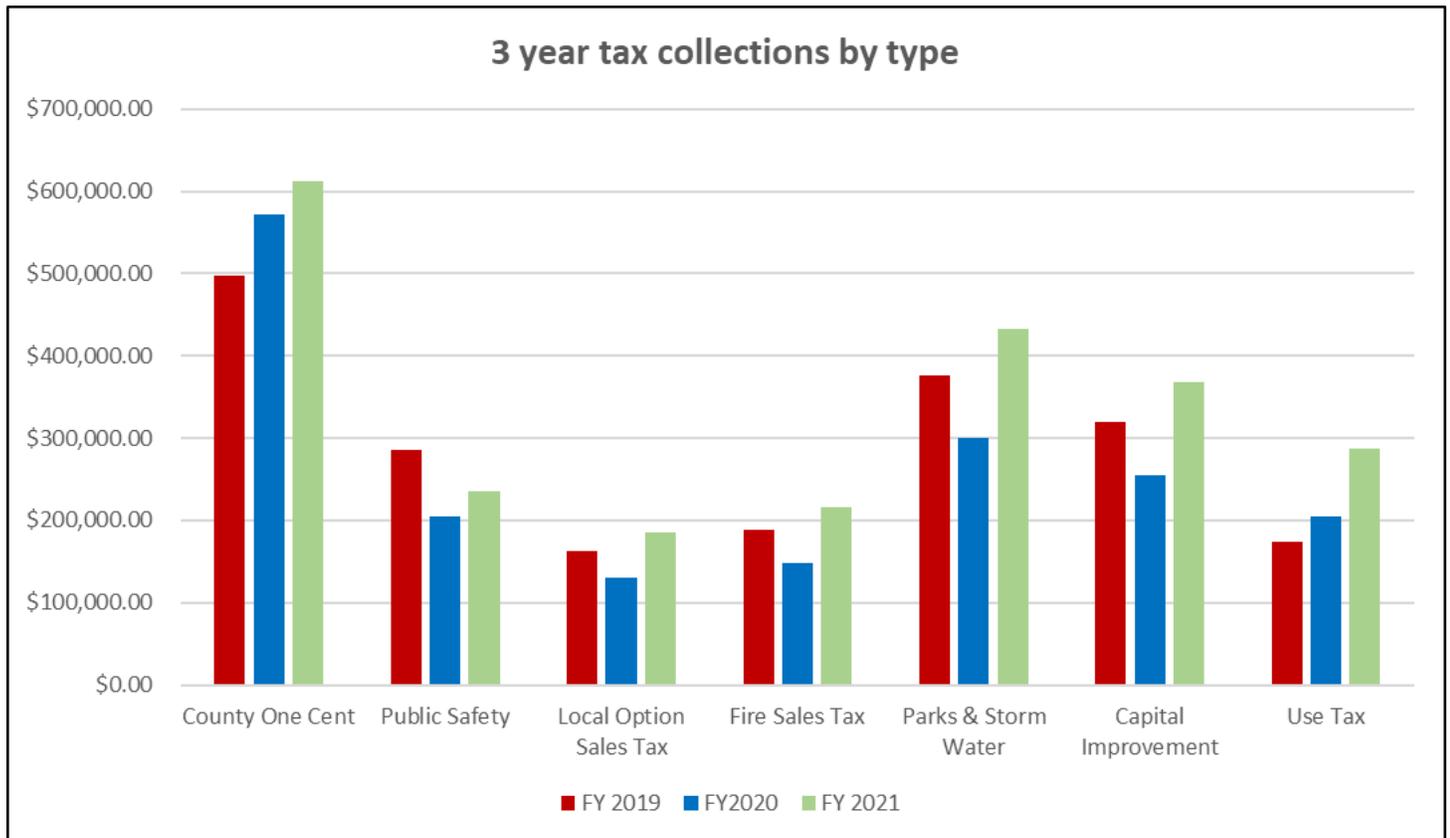
Category	Oct-Dec 2019	Oct-Dec 2020	Oct-Dec 2021	% Change 2021-2019
Grocery/Pharmacy/Liquor	\$ 134,961.92	\$ 124,043.17	\$ 204,654.99	51.6%
Home Furnishings	61,130	61,727	94,202	54.1%
Hotels	267,704	80,453	270,645	1.1%
Jewelry	66,405	81,889	99,726	50.2%
Other	144,877	101,227	120,528	-16.8%
Other Retail	144,806	145,212	148,159	2.3%
Restaurants	655,889	444,425	720,611	9.9%
Utilities	178,510	174,886	194,325	8.9%
Vehicle Sales	223,679	290,543	302,391	35.2%



Historical First Quarter Sales Tax Collections by Tax Type

Sales tax collections, in total, have increased compared to FY2020 by 28.4%, and by 16.65% as compared to FY2019.

				% Change
Tax	FY 2020	FY2021	FY 2022	FY22-FY20
County One Cent	\$496,991.88	\$572,662.72	\$612,050.58	23.2%
Public Safety	\$285,863.68	\$205,012.43	\$236,059.27	-17.4%
Local Option Sales Tax	\$162,029.21	\$130,694.04	\$185,486.10	14.5%
Fire Sales Tax	\$187,807.49	\$148,854.79	\$216,012.17	15.0%
Parks & Storm Water	\$375,712.44	\$300,518.69	\$432,107.15	15.0%
Capital Improvement	\$319,355.62	\$255,440.89	\$367,291.09	15.0%
Use Tax	\$174,740.60	\$205,490.77	\$286,874.92	64.2%



CITY OF CLAYTON
Analysis of Revenue & Expenditures Through 1st Quarter 2022

This financial report is for the first three months of fiscal year 2022 ending December 31, 2021 (FY22). Significant highlights are summarized below.

Summary of All Funds

FY22 year-to-date activity shows a surplus of \$860,751 in all governmental funds. Revenue and other financing sources total \$17.5 million at the end of this period and were \$5 million more than the 1st quarter of last year. This increase was primarily due to the issuance of bonds to refund the 2011 Special Obligation bonds.

Expenditures and other financing uses total \$16.7 million and are \$2.3 million more than the amount spent in the 1st quarter of 2021.

All Funds Summary	FY21 Actual	FY21 Actual Through 1st Quarter	FY22 Amended Budget	FY22 Actual Through 1st Quarter
Revenue	\$35,460,451	\$7,028,405	\$36,357,796	\$10,640,520
Other Financing Sources	8,504,272	5,534,203	20,938,079	6,886,685
Revenue & other financing sources	43,964,723	12,562,608	57,295,875	17,527,204
Expenditures	34,026,358	8,884,563	40,111,374	9,781,365
Other Financing Uses	8,286,221	5,464,445	20,849,810	6,885,088
Expenditures & Other Financing Uses	42,312,578	14,349,008	60,961,184	16,666,453
Surplus (Deficit)	1,652,145	(1,786,399)	(3,665,309)	860,751

General Fund

The General Fund shows a surplus of \$1.1 million for FY22 compared to last year's loss of \$1.5 million for the 1st quarter. FY21 ended with a surplus of \$1.1 million due to a \$1.7 million grant related to the pandemic.

General Fund Revenue: Revenue totaled \$7.7 million which is \$2.7 million more than revenue received the 1st quarter of last year. Revenues for property tax was \$1.5 million higher than the 1st quarter of FY21.

General Fund Expenditures: Expenditures for FY22 first quarter are \$6.9 million, which is \$189,275 more than expenditures for the same period last year. Personnel expenditures, the largest expenditure category, accounts for 70% of budgeted expenses.

General Fund Summary	FY21 Actual	FY21 Actual Through 1st Quarter	FY22 Amended Budget	FY22 Actual Through 1st Quarter
Revenue	\$26,574,361	\$5,013,657	\$26,800,981	\$7,740,533
Other Financing Sources	954,133	238,910	990,229	247,407
Revenue & other financing sources	27,528,494	5,252,567	27,791,210	7,987,940
Expenditures	26,436,540	6,745,571	28,511,423	6,934,846
Other Financing Uses	0	0	0	0
Expenditures & Other Financing Uses	26,436,540	6,745,571	28,511,423	6,934,846
Surplus (Deficit)	1,091,954	(1,493,004)	(720,213)	1,053,094

Special Revenue Funds

In the Sewer Lateral Fund, revenue of \$67,029 was received but only \$2000 in expenditures were incurred in the 1st quarter of FY22. In the Special Business District (SBD) Fund, revenue of \$192,209 was received, compared to only \$44,006 for the 1st quarter of FY21.

Special Revenue Funds	FY21 Actual	FY21 Actual Through 1st Quarter	FY22 Amended Budget	FY22 Actual Through 1st Quarter
Sewer Lateral Revenue	\$95,680	\$56,284	\$94,605	\$67,403
SBD Revenue	464,782	44,161	514,329	192,254
Total Revenue	560,462	100,445	608,934	259,658
Sewer Lateral Expenditures	74,083	8,778	80,000	2,000
SBD Other Financing Uses	535,556	133,889	514,329	128,582
Expenditures & Other Financing Uses	609,639	142,667	594,329	130,582
Surplus (Deficit)	(49,177)	(42,222)	14,605	129,075

Equipment Replacement Fund

Revenue and other financing sources were \$496,919 for this first quarter which was \$118,782 lower than FY21 as of December 31. Expenditures were \$198,791 for first quarter FY22 compared to \$270,088 in the prior year. The City made the final payment on the ladder truck capital lease initiated in 2009. Expenditures vary based on the items budgeted to be purchased in a particular year.

Equipment Replacement Fund	FY21 Actual	FY21 Actual Through 1st Quarter	FY22 Amended Budget	FY22 Actual Through 1st Quarter
Revenue	\$161,094	\$10,369	\$467,434	-\$4,760
Other Financing Sources	2,347,477	605,332	2,093,791	501,679
Revenue & other financing sources	2,508,571	615,701	2,561,225	496,919
Expenditures	1,004,269	270,088	2,852,956	198,791
Surplus (Deficit)	1,504,302	345,613	(291,731)	298,129

Capital Improvement Fund

Revenue and other financing sources were \$1.4 million compared to \$1.2 million for the same period last fiscal year. The quarter's expenditures and other financing uses were \$1.8 million compared to \$1.9 million in FY21.

Capital Improvement Fund	FY21 Actual	FY21 Actual Through 1st Quarter	FY22 Amended Budget	FY22 Actual Through 1st Quarter
Revenue	\$4,712,708	\$1,171,618	\$4,795,898	\$1,391,633
Other Financing Sources	14,050	0	0	0
Revenue & other financing sources	4,726,758	1,171,618	4,795,898	1,391,633
Expenditures	1,348,956	245,244	3,393,317	662,696
Other Financing Uses	4,020,004	1,599,895	3,711,362	1,120,504
Expenditures & Other Financing Uses	5,368,960	1,845,139	7,104,679	1,783,200
Surplus (Deficit)	(642,202)	(673,521)	(2,308,781)	(391,566)

Bond Construction Funds

This section combines three construction funds: 2014 bonds, Center renovations, and the multi-purpose facility (ice rink). There has been little revenue or expenditure activity in any of these funds this year as the Center project is complete, the Ice Rink project is on hold, and most of the planned spending of 2014 bond funds will occur after the winter.

Bond Construction Funds	FY21 Actual	FY21 Actual Through 1st Quarter	FY22 Amended Budget	FY22 Actual through 1st Quarter
2014 Bond Revenue	\$773,059	\$6,796	\$1,178,727	\$4,716
Center Revenue	205,940	6	0	0
Ice Rink Revenue	0	0	0	0
Total Revenue	978,999	6,802	1,178,727	4,716
Other Financing Sources	4,539,724	4,132,643	75,000	0
Revenue & other financing sources	5,518,723	4,139,445	1,253,727	4,716
2014 Bond Expenditures	1,571,289	(39,762)	2,143,945	211,829
Center Expenditures	595,825	0	0	0
Ice Rink Expenditures	0	0	0	0
Total Expenditures	2,167,114	(39,762)	2,143,945	211,829
Other Financing Uses	0	0	0	0
Expenditures & Other Financing Uses	2,167,114	(39,762)	2,143,945	211,829
Surplus (Deficit)	3,351,609	4,179,208	(890,218)	(207,113)

Debt Service Funds

These funds show significantly more revenue and expenditures when compared to the prior year because this year includes two bond refundings, one of which occurred in the first quarter.

Debt Service Funds	FY21 Actual	FY21 Actual Through 1st Quarter	FY22 Amended Budget	FY22 Actual Through 1st Quarter
Revenue	\$2,472,827	\$725,513	\$2,505,822	\$1,248,739
Other Financing Sources	648,888	557,319	17,279,059	5,637,598
Revenue & other financing sources	3,121,715	1,282,832	19,784,881	6,886,338
Expenditures	2,995,395	1,654,644	3,129,733	1,771,204
Other Financing Uses	3,730,661	3,730,661	16,624,119	5,636,002
Expenditures & Other Financing Uses	6,726,056	5,385,304	19,753,852	7,407,206
Surplus (Deficit)	(3,604,341)	(4,102,473)	31,029	(520,868)

City of Clayton
FY 2022 Quarterly Financial Report
For the 3 Months Ending December 31, 2021

All Funds

	<u>FY 2021</u>			<u>FY 2022</u>			<u>Budget %</u>	
	<u>Amended Budget</u>	<u>Final Actual</u>	<u>Actual Thru December</u>	<u>Original Budget</u>	<u>Amended Budget</u>	<u>Actual Thru December</u>	<u>Received/ Expended</u>	<u>\$ Over (Under) Prior Year</u>
Revenue								
General Fund	26,554,254	26,574,361	5,013,657	26,800,981	26,800,981	7,740,533	28.9%	2,726,875
Sewer Lateral Fund	94,774	95,680	56,284	94,605	94,605	67,403	71.2%	11,120
Special Business District Fund*	471,961	464,782	44,161	514,329	514,329	192,254	37.4%	148,093
Equipment Replacement Fund	73,908	161,094	10,369	106,525	467,434	(4,760)	-1.0%	(15,129)
Capital Improvement Fund	4,587,787	4,712,708	1,171,618	4,795,898	4,795,898	1,391,633	29.0%	220,015
Bond Construction Funds	488,257	978,999	6,802	969,864	1,178,727	4,716	0.4%	(2,086)
Debt Service Funds	2,477,408	2,472,827	725,513	2,505,822	2,505,822	1,248,739	49.8%	523,226
Total Revenue	34,748,349	35,460,451	7,028,405	35,788,024	36,357,796	10,640,520	29.3%	3,612,114
Other Financing Sources	8,586,763	8,504,272	5,534,203	4,232,695	20,938,079	6,886,685	32.9%	1,352,482
Total Revenue & Other Financing Sources	43,335,112	43,964,723	12,562,608	40,020,719	57,295,875	17,527,204	30.6%	4,964,596
Expenditures								
General Fund	27,358,534	26,436,540	6,745,571	28,549,563	28,511,423	6,934,846	24.3%	189,275
Sewer Lateral Fund	68,083	74,083	8,778	80,000	80,000	2,000	2.5%	(6,778)
Equipment Replacement Fund	1,352,432	1,004,269	270,088	2,188,458	2,852,956	198,791	7.0%	(71,297)
Capital Improvement Fund	2,037,981	1,348,956	245,244	3,253,317	3,393,317	662,696	19.5%	417,451
Bond Construction Funds	2,091,655	2,167,114	(39,762)	1,452,889	2,143,945	211,829	9.9%	251,591
Debt Service Funds	3,006,601	2,995,395	1,654,644	3,090,188	3,129,733	1,771,204	56.6%	116,561
Total Expenditures	35,915,286	34,026,358	8,884,563	38,614,415	40,111,374	9,781,365	24.4%	896,802
Other Financing Uses	8,382,888	8,286,221	5,464,445	4,145,020	20,849,810	6,885,088	33.0%	1,420,643
Total Expenditures & Other Financing Uses	44,298,174	42,312,578	14,349,008	42,759,435	60,961,184	16,666,453	27.3%	2,317,446
Surplus (Deficit)	(963,062)	1,652,145	(1,786,399)	(2,738,716)	(3,665,309)	860,751		

*Expenditures related to Economic Development and Events are recorded in the General Fund while revenue is recorded in the Special Business District Fund, with transfers out to the General Fund supporting the expenditures.

City of Clayton
FY 2022 Quarterly Financial Report
For the 3 Months Ending December 31, 2021

General Fund

The General Fund accounts for all revenue and expenditures associated with the traditional services provided by Clayton City government.

	<u>FY 2021</u>			<u>FY 2022</u>			Budget % Received/ Expended	\$ Over (Under) Prior Year
	Amended Budget	Final Actual	Actual Thru December	Adopted Budget	Amended Budget	Actual Thru December		
Revenue								
Property Taxes	6,357,827	6,321,990	2,049,450	6,746,222	6,746,222	3,531,848	52.4%	1,482,398
Licenses, Permits & Fees	3,078,941	3,065,060	479,299	3,042,694	3,042,694	460,849	15.1%	(2,604,211)
Sales Tax	4,884,105	4,884,104	1,057,224	4,973,312	4,973,312	1,249,608	25.1%	192,384
Utilities	4,860,017	4,849,905	1,082,518	4,864,407	4,864,407	1,384,814	28.5%	302,297
Intergovernmental	3,726,251	3,853,498	(194,820)	3,813,916	3,813,916	384,232	10.1%	579,053
Parks & Recreation	712,732	689,233	46,822	737,315	737,315	34,387	4.7%	(12,435)
Fines & Forfeitures	625,079	631,221	111,712	605,200	605,200	184,308	30.5%	72,596
Parking	1,751,213	1,736,967	316,412	1,745,050	1,745,050	453,173	26.0%	136,761
Miscellaneous	558,089	542,382	65,041	272,865	272,865	57,313	21.0%	(7,728)
Total Revenue	26,554,254	26,574,361	5,013,657	26,800,981	26,800,981	7,740,533	28.9%	2,726,875
Other Financing Sources	954,007	954,133	238,910	990,229	990,229	247,407	25.0%	8,497
Total Revenue & Other Financing Sources	27,508,261	27,528,494	5,252,567	27,791,210	27,791,210	7,987,940	28.7%	2,735,373
Expenditures								
Personnel Services	19,166,063	18,641,468	4,996,499	20,006,114	19,955,674	5,163,598	25.9%	167,099
Contractual Services	6,943,920	6,620,711	1,605,226	7,302,604	7,302,604	1,569,674	21.5%	(35,552)
Commodities	1,167,751	1,089,336	143,785	1,164,344	1,176,644	201,573	17.1%	57,788
Capital Outlay	80,800	85,025	62	76,501	76,501	-	0.0%	(62)
Total Expenditures	27,358,534	26,436,540	6,745,571	28,549,563	28,511,423	6,934,846	24.3%	189,275
Other Financing Uses	-	-	-	-	-	-	-	-
Total Expenditures & Other Financing Uses	27,358,534	26,436,540	6,745,571	28,549,563	28,511,423	6,934,846	24.3%	189,275
Surplus (Deficit)	149,727	1,091,954	(1,493,004)	(758,353)	(720,213)	1,053,094		

General Fund Expenditures by Department

	<u>FY 2021</u>			<u>FY 2022</u>			Budget % Received/ Expended	\$ Over (Under) Prior Year
	Amended Budget	Final Actual	Actual Thru December	Adopted Budget	Amended Budget	Actual Thru December		
Expenditures								
Board of Aldermen & City Clerk	87,149	81,300	10,770	73,791	73,791	13,195	17.9%	2,426
City Manager	661,147	650,233	148,107	703,289	700,347	146,501	20.9%	(1,606)
Economic Development	585,225	538,241	133,471	735,144	732,065	133,610	18.3%	140
Finance & Administration	2,818,360	2,665,931	725,574	2,916,204	2,904,293	737,948	25.4%	12,374
Planning & Development	1,045,396	991,404	282,624	1,052,360	1,046,044	252,155	24.1%	(30,470)
Police	6,727,503	6,605,124	1,743,515	6,994,243	6,991,898	1,823,237	26.1%	79,721
Fire	6,112,094	6,110,274	1,620,279	6,218,270	6,217,753	1,681,834	27.0%	61,555
Public Works	6,351,670	5,897,746	1,276,286	6,697,231	6,682,633	1,333,483	20.0%	57,197
Parks & Recreation	2,436,025	2,386,479	477,029	2,642,998	2,646,566	499,558	18.9%	22,529
Insurance	533,966	509,809	327,916	516,033	516,033	313,325	60.7%	(14,591)
Total Expenditures	27,358,534	26,436,540	6,745,571	28,549,563	28,511,423	6,934,846	24.3%	189,275
Other Financing Uses	-	-	-	-	-	-	-	-
Total Expenditures & Other Financing Uses	27,358,534	26,436,540	6,745,571	28,549,563	28,511,423	6,934,846	24.3%	189,275

City of Clayton
FY 2022 Quarterly Financial Report
For the 3 Months Ending December 31, 2021

Sewer Lateral Fund

The Sewer Lateral Fund provides funding to residents for all or a portion of the cost of certain repairs of defective sewer lateral lines on all residential property having six or fewer dwelling units.

	<u>FY 2021</u>			<u>FY 2022</u>			Budget % Received/ Expended	\$ Over (Under) Prior Year
	Amended Budget	Final Actual	Actual Thru December	Adopted Budget	Amended Budget	Actual Thru December		
Revenue								
Sewer Lateral Fees	93,875	94,731	56,137	94,250	94,250	67,029	71.1%	10,892
Interest Income	899	949	147	355	355	374	105.4%	228
Total Revenue	94,774	95,680	56,284	94,605	94,605	67,403	71.2%	11,120
Other Financing Sources	-	-	-	-	-	-	-	-
Total Revenue & Other Financing Sources	94,774	95,680	56,284	94,605	94,605	67,403	71.2%	11,120
Expenditures								
Sewer Lateral Expenditures	68,083	74,083	8,778	80,000	80,000	2,000	2.5%	(6,778)
Total Expenditures	68,083	74,083	8,778	80,000	80,000	2,000	2.5%	(6,778)
Other Financing Uses	-	-	-	-	-	-	-	-
Total Expenditures & Other Financing Uses	68,083	74,083	8,778	80,000	80,000	2,000	2.5%	(6,778)
Surplus (Deficit)	26,691	21,597	47,505	14,605	14,605	65,403		

Special Business District Fund

This fund provides for a portion of the economic development activities in the downtown area. Expenditures related to Economic Development and Events are recorded in the General Fund, while revenue is recorded in the Special Business District Fund, with transfers out to the General Fund supporting these items.

	<u>FY 2021</u>			<u>FY 2022</u>			Budget % Received/ Expended	\$ Over (Under) Prior Year
	Amended Budget	Final Actual	Actual Thru December	Adopted Budget	Amended Budget	Actual Thru December		
Revenue								
Property Tax	470,705	463,838	44,006	513,729	513,729	192,209	37.4%	148,202
Investment Income	1,256	944	155	600	600	46	7.7%	(109)
Total Revenue	471,961	464,782	44,161	514,329	514,329	192,254	37.4%	148,093
Other Financing Uses	535,556	535,556	133,889	514,329	514,329	128,582	25.0%	(5,307)
Surplus (Deficit)	(63,595)	(70,774)	(89,728)	-	-	63,672		

City of Clayton
FY 2022 Quarterly Financial Report
For the 3 Months Ending December 31, 2021

Equipment Replacement Fund

The Equipment Replacement Fund establishes a "sinking" or reserve account for the systematic replacement of all capital vehicles and large equipment. The net replacement cost for each item is divided by its useful life, resulting in an annual amount to be budgeted and transferred to this fund for the replacement of the item.

	FY 2021			FY 2022			Budget % Received/ Expended	\$ Over (Under) Prior Year
	Amended Budget	Final Actual	Actual Thru December	Adopted Budget	Amended Budget	Actual Thru December		
Revenue								
Gain/Loss on Sale of Assets								
Interest Income	73,908	76,369	16,754	36,025	36,025	9,912	27.5%	(6,842)
Miscellaneous and Grants	-	84,725	(6,385)	70,500	431,409	(14,672)	-3.4%	(8,287)
Total Revenue	73,908	161,094	10,369	106,525	467,434	(4,760)	-1.0%	(15,129)
Other Financing Sources	2,347,477	2,347,477	605,332	2,093,791	2,093,791	501,679	24.0%	(103,652)
Total Revenue & Other Financing Sources	2,421,385	2,508,571	615,701	2,200,316	2,561,225	496,919	19.4%	(118,782)
Expenditures								
Technology Projects	211,436	194,974	199	453,165	453,165	465	0.1%	266
Vehicles and Equipment	1,037,978	706,278	244,134	1,718,123	2,382,621	181,156	7.6%	(62,978)
Debt Payment - Ladder Truck Loan	103,018	103,018	25,754	17,170	17,170	17,170	100.0%	(8,585)
Total Expenditures	1,352,432	1,004,269	270,088	2,188,458	2,852,956	198,791	7.0%	(71,297)
Surplus (Deficit)	1,068,953	1,504,302	345,613	11,858	(291,731)	298,129		

Capital Improvement Fund

The Capital Improvement Fund earmarks funds for specific capital improvement and infrastructure needs.

	FY 2021			FY 2022			Budget % Received/ Expended	\$ Over (Under) Prior Year
	Amended Budget	Final Actual	Actual Thru December	Adopted Budget	Amended Budget	Actual Thru December		
Revenue								
Property Taxes	3,000	3,136	-	3,000	3,000	-	-	-
Capital Improvement Sales Tax	1,196,798	1,196,798	255,441	1,240,249	1,240,249	367,291	29.6%	111,850
Parks & Stormwater Sales Tax	945,280	945,280	236,147	1,111,751	1,111,751	313,251	28.2%	77,104
Use Tax	1,094,947	1,094,947	205,491	980,360	980,360	286,875	29.3%	81,384
Road & Bridge Tax	995,998	995,998	337,894	1,039,148	1,039,148	567,512	54.6%	229,618
Grants & Donations	298,205	274,361	(4,928)	386,500	386,500	(157,314)	-40.7%	(152,385)
Interest Income/Other	47,564	74,236	20,856	29,698	29,698	8,826	29.7%	(12,030)
Special Assessments	5,995	12,425	5,192	5,192	5,192	5,192	100.0%	-
Miscellaneous	-	115,527	115,527	-	-	-	-	(115,527)
Total Revenue	4,587,787	4,712,708	1,171,618	4,795,898	4,795,898	1,391,633	29.0%	220,015
Other Financing Sources	42,123	14,050	-	-	-	-	-	-
Total Revenue & Other Financing Sources	4,629,910	4,726,758	1,171,618	4,795,898	4,795,898	1,391,633	29.0%	220,015
Expenditures								
Expenditures	2,037,981	1,348,956	245,244	3,253,317	3,393,317	662,696	19.5%	417,451
Other Financing Uses	4,074,277	4,020,004	1,599,895	3,630,691	3,711,362	1,120,504	30.2%	(479,391)
Total Expenditures & Other Financing Uses	6,112,258	5,368,960	1,845,139	6,884,008	7,104,679	1,783,200	25.1%	(61,940)
Surplus (Deficit)	(1,482,348)	(642,202)	(673,521)	(2,088,110)	(2,308,781)	(391,566)		

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2014 General Obligation Bond Construction Fund

The 2014 GO Bond Construction fund will be used to track projects funded by the 2014 General Obligation bond issuance. Projects to be funded by these bonds include street lighting improvements, replacement of alleys and resurfacing and repaving of streets.

	<u>FY 2021</u>			<u>FY 2022</u>			Budget % Received/ Expended	\$ Over (Under) Prior Year
	Amended Budget	Final Actual	Actual Thru December	Adopted Budget	Amended Budget	Actual Thru December		
Revenue								
Grants	244,432	739,847	-	965,535	1,174,398	-	-	-
Interest Income	37,825	33,212	6,796	4,329	4,329	4,716	108.9%	(2,080)
Total Revenue	282,257	773,059	6,796	969,864	1,178,727	4,716	0.4%	(2,080)
Other Financing Sources	4,207,914	4,153,370	4,132,643	-	75,000	-	-	(4,132,643)
Total Revenue & Other Financing Sources	4,490,171	4,926,429	4,139,439	969,864	1,253,727	4,716	0.4%	(4,134,723)
Expenditures								
Professional Services General	-	-	-	-	300,000	-	-	-
Curbs and Sidewalks	137,366	137,366	(1,158)	100,000	100,000	(4,848)	-4.8%	(3,690)
Streetscapes	-	-	-	52,451	60,843	-	-	-
Alleys	109,395	109,395	(38,604)	-	-	-	-	38,604
Roads and lots Resurfacing	1,248,553	1,324,528	-	1,300,438	1,683,102	216,677	12.9%	216,677
Total Expenditures	1,495,314	1,571,289	(39,762)	1,452,889	2,143,945	211,829	9.9%	251,591
Other Financing Uses	42,123	-	-	-	-	-	-	-
Total Expenditures & Other Financing Uses	1,537,437	1,571,289	(39,762)	1,452,889	2,143,945	211,829	9.9%	251,591
Surplus (Deficit)	2,952,734	3,355,140	4,179,202	(483,025)	(890,218)	(207,113)		

Center Renovations Project Fund

The Center Renovations Capital Project Fund is a construction fund for the City's portion of renovations to The Center of Clayton. The project is funded by bonds issued for this purpose in 2019.

	<u>FY 2021</u>			<u>FY 2022</u>			Budget % Received/ Expended	\$ Over (Under) Prior Year
	Amended Budget	Final Actual	Actual Thru December	Adopted Budget	Amended Budget	Actual Thru December		
Revenue								
Donations	206,000	205,931	-	-	-	-	-	-
Interest Income	-	9	6	-	-	-	-	(6)
Total Revenue	206,000	205,940	6	-	-	-	-	(6)
Other Financing Sources	386,354	386,354	-	-	-	-	-	-
Total Revenue & Other Financing Sources	592,354	592,294	6	-	-	-	-	(6)
Expenditures								
Contribution to CRSWC	182,809	182,809	-	-	-	-	-	-
Park Improvements Shaw Park	413,532	413,017	-	-	-	-	-	-
Total Expenditures	596,341	595,825	-	-	-	-	-	-
Other Financing Uses	-	-	-	-	-	-	-	-
Total Expenditures & Other Financing Uses	596,341	595,825	-	-	-	-	-	-
Surplus (Deficit)	(3,987)	(3,532)	6	-	-	-		

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Ice Rink Project Fund

The Ice Rink Project Fund is a capital construction fund for the purpose of constructing a year-round multi-purpose facility to include an ice rink. The project was intended to be funded by a bond issue which has been delayed. A transfer-in from the Capital Improvement Fund over a 4-year period will reimburse expenditures already incurred.

	<u>FY 2021</u>			<u>FY 2022</u>			Budget % Received/ Expended	\$ Over (Under) Prior Year
	Amended Budget	Final Actual	Actual Thru December	Adopted Budget	Amended Budget	Actual Thru December		
Revenue								
Interest Income	-	-	-	-	-	-	-	-
Total Revenue	-	-	-	-	-	-	-	-
Other Financing Sources	-	-	-	500,000	500,000	500,000	100.0%	500,000
Total Revenue & Other Financing Sources	-	-	-	500,000	500,000	500,000	100.0%	500,000
Expenditures								
Park Improvements Shaw Park	-	-	-	-	-	-	-	-
Total Expenditures	-	-	-	-	-	-	-	-
Other Financing Uses	-	-	-	-	-	-	-	-
Total Expenditures & Other Financing Uses	-	-	-	-	-	-	-	-
Surplus (Deficit)	-	-	-	-	-	-	-	-

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Debt Service Funds

This summary provides information on all of the City's Debt Service Funds. Current outstanding debt includes General Obligation Bonds in 2014, and Special Obligation Bonds in 2019 and 2021.

	<u>FY 2021</u>			<u>FY 2022</u>			Budget % Received/ Expended	\$ Over (Under) Prior Year
	Amended Budget	Final Actual	Actual Thru December	Adopted Budget	Amended Budget	Actual Thru December		
Revenue								
2011 Bond Issue	144	133	24	20	20	6	31.4%	(18)
2014 General Obligation Bonds	853,510	856,600	280,034	891,259	218,445	513,809	235.2%	233,775
2019 Refunding & Improvement Bonds	1,623,754	1,616,094	445,455	1,614,543	1,614,543	734,924	45.5%	289,469
2021 SO Refunding Bond	-	-	-	-	-	-	-	-
2022 GO Refunding Bond	-	-	-	-	672,814	-	-	-
Total Revenue	2,477,408	2,472,827	725,513	2,505,822	2,505,822	1,248,739	49.8%	523,226
Other Financing Sources								
2011 Bond Issue	648,888	648,888	557,319	648,675	563,569	-	-	(557,319)
2014 General Obligation Bonds	-	-	-	-	-	-	-	-
2019 Refunding & Improvement Bonds	-	-	-	-	-	-	-	-
2021 SO Refunding Bond	-	-	-	-	5,741,830	5,637,598	98.2%	5,637,598
2022 GO Refunding Bond	-	-	-	-	10,973,660	-	-	-
Total Other Financing Sources	648,888	648,888	557,319	648,675	17,279,059	5,637,598	32.6%	5,080,280
Total Revenue & Other Financing Sources	3,126,296	3,121,715	1,282,832	3,154,497	19,784,881	6,886,338	34.8%	5,603,506
Expenditures								
2011 Bond Issue	652,388	648,888	557,319	648,675	563,569	561,754	99.7%	4,436
2014 General Obligation Bonds	1,010,438	1,007,415	-	1,012,738	851,569	-	-	-
2019 Refunding & Improvement Bonds	1,343,775	1,339,093	1,097,325	1,428,775	1,428,775	1,209,450	84.6%	112,125
2021 SO Refunding Bond	-	-	-	-	106,828	-	-	-
2022 GO Refunding Bond	-	-	-	-	178,992	-	-	-
Total Expenditures	3,006,601	2,995,395	1,654,644	3,090,188	3,129,733	1,771,204	1	116,561
Other Financing Uses								
2011 Bond Issue	-	-	-	-	13,455	-	-	-
2014 Bonds for Capital Projects	3,730,932	3,730,661	3,730,661	-	-	-	-	(3,730,661)
2019 Refunding & Improvement Bonds	-	-	-	-	-	-	-	-
2021 SO Refunding Bond	-	-	-	-	5,636,003	5,636,002	84.6%	5,636,002
2022 GO Refunding Bond	-	-	-	-	10,974,661	-	-	-
Total Other Financing Uses	3,730,932	3,730,661	3,730,661	-	16,624,119	5,636,002	33.9%	1,905,341
Total Expenditures & Other Financing Uses	6,737,533	6,726,056	5,385,304	3,090,188	19,753,852	7,407,206	37.5%	2,021,902
Surplus (Deficit)	(3,611,237)	(3,604,341)	(4,102,473)	64,309	31,029	(520,868)		



City Manager
 10 N. Bemiston Avenue
 Clayton, MO 63105

REQUEST FOR BOARD ACTION

TO: MAYOR HARRIS; BOARD OF ALDERMEN
FROM: DAVID GIPSON, CITY MANAGER (DG)
 KAREN DILBER, DIRECTOR OF FINANCE
DATE: MARCH 15, 2022
SUBJECT: ORDINANCE – 1ST QUARTER AMENDMENT TO THE FISCAL YEAR 2022 BUDGET

The City reviews and adjusts budgeted revenues and expenditures on a quarterly basis to respond to changes as the fiscal year progresses and to update the Board regarding budgetary issues. As part of the quarterly budget review, staff is presenting for your consideration the first amendment to the Fiscal Year 2022 (FY22) budget. Most of this amendment consists of establishing funds for the 2021 and 2022 debt refundings and closing out the 2011 and 2014 debt funds. A significant amount of the remainder consists of projects or equipment that were budgeted last year but will now occur or be completed in FY22 and related revenue and expenses. The proposed amendment is summarized in the table below:

ALL FUNDS

	FY 2022 Amended Budget	1st Quarter Amendment Requested	FY 2022 Budget After Amendment	% Change
Beginning Fund Balance	\$39,478,150		\$39,478,150	
Revenues	\$40,020,719	\$17,275,155	\$57,295,874	43.2%
Expenditures	<u>\$42,759,435</u>	<u>\$18,201,748</u>	<u>\$60,961,183</u>	42.6%
Net Change		(\$926,593)		
Ending Fund Balance	\$36,739,434		\$35,812,841	

Below are further explanations, presented by fund, of the items included in this amendment.

General Fund

Revenue – No Change

Expenditures – Net Decrease of \$38,140

- Expenditure areas in the General Fund that had necessary budget amendments are as follows:
 - Non-Uniformed Pension – The pension contribution decreased by \$50,440 due to higher-than-expected investment earnings.

- Recreation Supplies-Leagues –Original budget contained errors in budgeting for youth sports uniforms.

Equipment Replacement Fund

Revenue – Net Increase of \$360,909

- Federal Grant – The traffic signal project was delayed until FY22, therefore the grant revenue of \$360,909 will also be received in FY22.

Expenditures – Net Increase of \$664,498

- Equipment and Projects funding moved to FY22 – The following vehicles, equipment and projects are being moved from last year to this fiscal year for completion:
 - Vehicles and Equipment – Public works vehicle #210 (\$25,486), Inspector Vehicle (\$17,464) and Veeder Root Tank monitor (\$13,050).
 - Facility Improvements—8300 Shaw Park gun range (\$44,880).
 - Traffic Signal Project – This large project was delayed to FY22 (\$563,618) and it is for the replacement of portions of equipment in several traffic signals. A large portion of the project is supported by a federal grant.

Capital Improvement Fund

Revenue – No change

Expenditures – Net Increase of \$220,671

- Projects carried over to FY22 include:
 - Street Resurfacing and Microsurfacing –Central Business District design (\$140,000)
- Transfer-out to other funds – This net amendment of \$80,671 represents the refunding of the 2011 Special Obligation Bonds, and the 2014 General Obligation Bonds. New funds have been established to track the refundings, and this amendment closes out the previous bond funds. A transfer totaling \$75,000 for the citywide lighting project is being made to the 2014 General Obligation bond construction fund.

2014 Bond Construction Fund

Revenue – Net Increase of \$283,863

- Federal Grant – The Maryland Avenue resurfacing construction was delayed until FY22; therefore, the grant revenue will also be received in FY22 (\$208,863).
- Transfer from Capital Improvement—Citywide lighting project (\$75,000)

Expenditures – Net Increase of \$691,056

- Projects carried over to FY22 include:
 - Citywide lighting concept plans - \$300,000
 - Linden Ave lighting extension design - \$8,392
 - Street Resurfacing – Projects carried over to FY22 include Maryland Ave. street resurfacing and the design of the Central Business District resurfacing Phase 1, for a total of \$382,664.

Debt Service Funds

Revenue – Net Increase of \$16,630,384

- Establishment of funds 36 and 37 for the 2021 Special Obligation Bond refunding and 2022 General Obligation Bond refunding, respectively. (\$17,388,304)
- Reduction of anticipated revenues in 2011 Special Obligation fund and 2014 General Obligation bond fund due to refinancing (\$757,920)

Expenditures – Net Increase of \$16,663,664

- Establishment of funds 36 and 37 for the 2021 Special Obligation Bond refunding and 2022 General Obligation Bond refunding, respectively, and closure of the 2011 Special Obligation Bond fund 31 and 2014 General Obligation Bond fund 32 due to refunding.

An ordinance is attached incorporating the recommended amendments to the FY22 budget. Exhibit 1-1 provides a fund summary of the effect of the recommended amendments and the percentage effect of the accumulated amendment on each fund. Exhibit 1-2 lists the individual budget line items in this quarter's amendment.

Recommendation: To approve the attached ordinance adopting an amendment to the FY22 budget with a net effect on the City's fund balances of a decrease of \$926,593.

BILL NO. 6891

ORDINANCE NO. _____

**AN ORDINANCE AMENDING THE FISCAL YEAR 2022 BUDGET
AND APPROPRIATING FUNDS PURSUANT THERETO**

WHEREAS, the Board of Aldermen on September 28, 2021, adopted the annual budget for Fiscal Year 2022 commencing October 1, 2021; and

WHEREAS, the Fiscal Year 2022 budget is to be amended in the 1st quarter to account for significant changes in revenue and expenditures on a fund basis that may affect the budget by year end.

NOW, THEREFORE, BE IT ORDAINED BY THE BOARD OF ALDERMEN OF THE CITY OF CLAYTON, MISSOURI, AS FOLLOWS:

Section 1. The annual Fiscal Year 2022 (FY22) budget for the City of Clayton, Missouri, commencing on October 1, 2021, is hereby amended as reported in Exhibit 1-1, attached hereto and incorporated herein by this reference, and described in detail in the narrative portions of Exhibit 1-2, also attached hereto and incorporated herein by this reference, and summarized below:

	FY 2022 Original Budget	1st Quarter Amendment Requested	FY 2022 Budget After Amendment
Revenues	\$40,020,719	\$17,275,155	\$57,295,874
Expenditures	\$42,759,435	\$18,201,748	\$60,961,183

Section 2. Funds are hereby appropriated as set forth in said Exhibits 1-1 and 1-2. The expenditure of the funds so appropriated shall be subject to the control of the City Manager.

Section 3. This ordinance shall be in full force and effect from and after its passage by the Board of Aldermen.

Passed this 15th day of March 2022.

Mayor

ATTEST:

City Clerk

City of Clayton				EXHIBIT 1-1		
1st Quarter Fiscal Year (FY) 2022 Budget Amendment						
			FY 22 Original	1st Quarter	FY 22 Budget	
			Budget	Amendment	After	%
Fund	Fund Name	Type	Budget	Amendment	Amendment	Change
10	General	Beginning Fund Balance	19,914,948		19,914,948	
	Fund	Revenues	27,791,210	0	27,791,210	0.0%
		Expenditures	28,549,563	(38,140)	28,511,423	-0.1%
		Ending Fund Balance	19,156,595	38,140	19,194,735	0.2%
20	Sewer Lateral	Beginning Fund Balance	74,306		74,306	
	Fund	Revenues	94,605	0	94,605	0.0%
		Expenditures	80,000	0	80,000	0.0%
		Ending Fund Balance	88,911	0	88,911	0.0%
21	Special	Beginning Fund Balance	24,202		24,202	
	Business	Revenues	514,329	0	514,329	0.0%
	District Fund	Expenditures	514,329	0	514,329	0.0%
		Ending Fund Balance	24,202	0	24,202	0.0%
50	Equipment	Beginning Fund Balance	7,828,678		7,828,678	
	Replacement	Revenues	2,200,316	360,909	2,561,225	16.4%
		Expenditures	2,188,458	664,498	2,852,956	30.4%
		Ending Fund Balance	7,840,536	(303,589)	7,536,947	-3.9%
51	Capital	Beginning Fund Balance	8,414,498		8,414,498	
	Improvement	Revenues	4,795,898	0	4,795,898	0.0%
	Fund	Expenditures	6,884,008	220,671	7,104,679	3.2%
		Ending Fund Balance	6,326,388	(220,671)	6,105,717	-3.5%
61	2014 Bond	Beginning Fund Balance	3,355,140		3,355,140	
	Construction	Revenues	969,864	283,863	1,253,727	29.3%
	Fund	Expenditures	1,452,889	691,056	2,143,945	47.6%
		Ending Fund Balance	2,872,115	(407,193)	2,464,923	-14.2%
62	Center of Clayton	Beginning Fund Balance	0		0	
	Construction	Revenues	0	0	0	0.0%
	Fund	Expenditures	0	0	0	0.0%
		Ending Fund Balance	0	0	0	0.0%
63	Ice Rink	Beginning Fund Balance	(1,814,543)		(1,814,543)	
	Construction	Revenues	500,000	0	500,000	0.0%
	Fund	Expenditures	0	0	0	0.0%
		Ending Fund Balance	(1,314,543)	0	(1,314,543)	0.0%
All	Debt Service	Beginning Fund Balance	1,680,920		1,680,920	
	Funds	Revenues	3,154,497	16,630,384	19,784,881	527.2%
		Expenditures	3,090,188	16,663,664	19,753,852	539.2%
		Ending Fund Balance	1,745,229	(33,280)	1,711,949	-1.9%
		Beginning Fund Balances	39,478,150		39,478,150	
		TOTAL REVENUES	40,020,719	17,275,155	57,295,874	43.2%
		TOTAL EXPENDITURES	42,759,435	18,201,748	60,961,183	42.6%
		Ending Fund Balances	36,739,434	(926,593)	35,812,841	-2.5%

**City of Clayton
1st Quarter Budget Amendment - FY 2022**

EXHIBIT 1-2

Account #	Account Name	1st Quarter Amendment	Description
Revenue			
EQUIPMENT REPLACEMENT FUND			
50.10-425.10	Federal Grants General	360,909	Traffic signal project carried into FY22
2014 GENERAL OBLIGATION BOND CONSTRUCTION FUND			
61.10-425.10	Federal Grants General	208,863	Maryland Avenue resurfacing grant revenue-project carried over from FY21.
61.10-499.51	Transfer from Capital Improvement Fund	75,000	Citywide lighting project-transfer from capital improvement
DEBT SERVICE FUNDS			
31.10-499.51	Transfers In from Capital Improvement Fund	(85,106)	Due to 2021 refunding, total expenditures will be less.
32.10-400.11	Real Property Taxes Current	(672,814)	Due to refunding, property taxes will be recorded in Fund 37-2022 G.O. Bond Refunding
36.10-492.11	Bond Proceeds Net Proceeds	5,010,000	New fund established to refund 2011 S.O. Bonds
36.10-492.13	Bond Proceeds Net Premium	627,598	New fund established to refund 2011 S.O. Bonds
36.10-499.31	Transfers In from 2011 SO Bond	13,455	Transfer remaining balance of 2011 SO Bonds after refunding.
36.10-499.51	Transfers In from Capital Improvement Fund	90,777	New fund established to refund 2011 S.O. Bonds
37.10-400.11	Real Property Taxes Current	672,814	New fund established to refund 2014 G.O. Bonds
37.10-492.11	Bond Proceeds Net Proceeds	10,180,000	New fund established to refund 2014 G.O. Bonds
37.10-492.11	Bond Proceeds Net Premium	793,660	New fund established to refund 2014 G.O. Bonds
Total Revenue Amendment		\$17,275,155	

Expenditures

GENERAL FUND			
10-580 (Various)	Non-Uniformed Pension Contribution	(50,440)	The pension contribution was less than anticipated due to higher-than-expected pension investment earnings.
10.50.63-730.13	Recreation Supplies-Leagues	12,300	Error in budgeting for youth sports uniforms
EQUIPMENT REPLACEMENT FUND			
50.20.10-800	Vehicles	17,464	Vehicle ordered in FY21 and received in FY22
50.25.25-850	Facility Improvements	44,880	8300 Shaw Park gun range improvement project carried over into FY22.

Account #	Account Name	1st Quarter Amendment	Description
EQUIPMENT REPLACEMENT FUND--continued			
50.40.40-800	Vehicles	25,486	Vehicle ordered in FY21 and expected to be received in FY22.
50.40.43-805	Equipment	13,050	Equipment ordered in FY21 expected to be received in FY22
50.40.45-805	Equipment	563,618	Traffic signal project carried into FY22
CAPITAL IMPROVEMENT FUND			
51.40.41-840.11	Roads and Lots Resurfacing-CBD Ph2	140,000	Design carried over into FY22
51.60.81-999.31	Transfers Out to 2011 SO Bond Fund 31	(85,106)	Bring Fund 31 2011 SO Bond Fund to zero after refunding.
51.60.81-999.36	Transfers Out to 2021 SO Refunding Bond	90,777	Previously budgeted to Fund 31, refunded in 2021. Transfer to new fund 36.
51.60.81-999.61	Transfer to 2014 GO Construction Fund	75,000	Citywide lighting project-transfer to 2014 GO Construction fund
2014 GENERAL OBLIGATION BOND CONSTRUCTION FUND			
61.40.40-635.10	Professional Services General-Lighting Con Plans	225,000	Citywide lighting concept plans carried to FY22-Bond portion
61.40.40-635.10	Professional Services General-Lighting Con Plans	75,000	Citywide lighting concept plans carried to FY22-CIP portion
61.40.41-825	Streetscapes	8,392	Linden Ave. lighting extension design delayed due to workload
61.40.41-840.11	Roads and Lots Resurfacing-CBD Ph1 Design	83,590	Design and property acquisition carried into FY22
61.40.41-840.11	Roads and Lots Resurfacing-Maryland	90,211	Project carried into FY22
61.40.41-840.11	Roads and Lots Resurfacing-Maryland	208,863	Project carried into FY22-grant portion
DEBT SERVICE FUNDS			
31.70.10-900.12	Debt Service Interest	(85,106)	2011 SO Bonds refunded in 2021.
31.60.81-999.36	Transfer out to 2021 SO Refunding Bond	13,455	To bring ending fund balance to zero.
32.70.10-635.10	Professional Services General	2,500	Add funding for likely arbitrage calculation
32.70.10-900.12	Debt Service Interest	(163,669)	2014 GO Bonds refunded in 2022. Interest expense will be from fund 37.
36.70.10-635.10	Professional Services General	1,000	New fund established to refund 2011 SO Bonds
36.70.10-900.12	Debt Service Interest	105,828	New fund established to refund 2011 SO Bonds

1st Quarter

Account # Account Name Amendment Description

DEBT SERVICE FUNDS--continued			
36.70.10-960	Bond Issuance Costs	84,142	New fund established to refund 2011 SO Bonds
36.70.10-970	Transfer to Trustee	5,551,861	New fund established to refund 2011 SO Bonds
37.70.10-635.10	Professional Services General	2,000	New fund established to refund 2014 GO Bonds
37.70.10-900.12	Debt Service Interest	176,992	New fund established to refund 2014 GO Bonds
37.70.10-960	Bond Issuance Costs	189,661	New fund established to refund 2014 GO Bonds
37.70.10-970	Transfer to Trustee	10,785,000	New fund established to refund 2014 GO Bonds
Total Expenditure Amendment		18,201,748	



City Manager
10 N. Bemiston Avenue
Clayton, MO 63105

REQUEST FOR BOARD ACTION

TO: MAYOR HARRIS; BOARD OF ALDERMEN
FROM: DAVID GIPSON, CITY MANAGER
DATE: MARCH 15, 2022
SUBJECT: RESOLUTION - FUND BALANCE POLICY

On November 19, 2021, the Board of Aldermen discussed the City's current Fund Balance Policy. The unassigned fund balance is the amount remaining in a single fund at the end of a fiscal year, less commitments and restrictions. The City presently has a minimum unassigned General Fund fund balance of twenty-five percent (25%), with an operational target balance of thirty-three percent (33%).

Following the Board's discussion, staff was directed to amend the General Fund unassigned fund balance policy for approval by Resolution. The proposed revisions would establish the following:

- Minimum fund balance – 25% - This is the lowest fund balance permitted by the policy.
- Action threshold – 40% - At this level, the Board of Aldermen will take immediate action to prevent the fund balance from reaching the minimum level of 25%. Actions may include initiation of a deficit reduction planning process, hiring freezes, project or program cancellations and in extreme situations, reduction of staffing levels.
- Operational goal – 50% - This is the desired fund balance at the end of each fiscal year.

Staff recommends approval of the attached Resolution establishing a new Fund Balance Policy for the General Fund.

RESOLUTION NO. 2022-07

A RESOLUTION ADOPTING A GENERAL FUND UNASSIGNED FUND BALANCE POLICY

WHEREAS, the City of Clayton, Missouri has an established unassigned fund balance minimum of 25% and a goal fund balance of 33% for the General Fund.; and

WHEREAS, the Board of Aldermen recognizes the importance of a healthy fund balance level that provides the City the ability to react to unexpected events or economic downturns; and

WHEREAS, the Board of Aldermen desires to replace the existing policy with a three-tiered fund balance structure for the General Fund; and

WHEREAS, the Board of Aldermen has reviewed and considered revisions to the Fund Balance Policy.

NOW THEREFORE, BE IT RESOLVED BY THE BOARD OF ALDERMEN OF THE CITY OF CLAYTON, MISSOURI, AS FOLLOWS:

Section 1. The General Fund Unassigned Fund Balance Policy is hereby established as follows:

- Minimum fund balance – 25% - This is the lowest unassigned fund balance permitted by the policy.
- Action threshold – 40% - At this level, the Board of Aldermen will take immediate action to prevent the fund balance from reaching the minimum level of 25%. Actions may include initiation of a deficit reduction planning process, hiring freezes, project or program cancellations and in extreme situations, reduction of staffing levels.
- Operating goal – 50% - This is the desired fund balance at the end of each fiscal year.

Section 2. The Board of Aldermen hereby adopts the General Fund Unassigned Fund Balance Policy.

Section 3. This Resolution shall be in full force and effect both from and after its passage by the Board of Aldermen.

Passed by the Board of Aldermen this 15th day of March 2022.

Mayor

Attest:

City Clerk



City Manager
10 N. Bemiston Avenue
Clayton, MO 63105

REQUEST FOR BOARD ACTION

TO: MAYOR HARRIS; BOARD OF ALDERMEN
FROM: DAVID GIPSON, CITY MANAGER
MARK J. SMITH, CHIEF OF POLICE
DATE: MARCH 2, 2022
SUBJECT: RESOLUTION –SUBMITTAL OF A HAZARDOUS MOVING VIOLATION ENFORCEMENT GRANT APPLICATION

Aggressive driving is a costly decision, often made in an instant, but can have lifelong consequences. According to the National Highway Traffic Safety Administration, aggressive driving is when an individual commits a combination of moving traffic offenses so as to endanger other persons or property. During the last five years, the combination of aggressive driving behaviors contributed to 53 percent of fatalities and 44 percent of serious injuries in Missouri. Speed-related conditions, including exceeding the speed limit and too fast for conditions, accounted for the most fatalities of all aggressive driving behaviors. Thirty-six percent of all Missouri fatalities over the last five years were speed-related.

The reduction of traffic crashes on the roadways within the City of Clayton is a priority for the Clayton Police Department. The City of Clayton is a densely populated urban area. It has a network of bike lanes and pedestrian walkways which are attached to major roadways. The risk of personal injury is greater when pedestrian and cyclist traffic is mixed with vehicular traffic. The result is an increase in traffic complaints, congestion and collisions. Any collision involving a motor vehicle with a pedestrian or cyclist has substantially greater potential for serious physical injury or death of involved parties. The most frequently occurring contributing circumstances are hazardous moving violations.

An increased enforcement of hazardous moving violations in the area where there is high pedestrian and pedal cyclist traffic could decrease the number of accidents involving pedestrians and pedal cyclists.

A portion of Interstate I-170 passes through the City of Clayton. According to MoDOT's 2019 St. Louis District Traffic Volume and Commercial Vehicle Count Map (most recent data), the City of Clayton's portion of I-170 accommodates approximately 81,362 vehicles a day. Interstate 170 has approximately 19% of all crashes investigated by the Clayton Police Department. It is common to have secondary and tertiary crashes due to the congestion caused by the primary crash. The interstate, as well as city streets, need hazardous moving violations monitored and enforced to reduce the potential for injury crashes.

The Clayton Police Department intends to seek a MoDOT grant to assist the Department to address the issue of aggressive driving in a multifaceted approach.

1. Additional deployment of commissioned Clayton police officers to patrol for hazardous moving violations. The officers will be brought in on their days off and paid overtime to address solely the problems related to this safety plan. Officers will also conduct patrol and traffic enforcement targeting drivers that commit hazardous moving violations, focusing on the top contributing circumstances.
2. Provide a quick response to vehicle crashes. The presence of emergency vehicles at a crash on a major roadway not only will help protect the victims of the original crash but help prevent the occurrence of secondary crashes due to traffic congestion. A prompt response from arriving police officers, as well as having a coordinated traffic control plan once on scene, can reduce traffic crashes.
3. Directly address issue of speed. *Clayton Police Officers will use RADAR devices to detect speeders.*

Recommendation: To approve the resolution authorizing submittal of the grant application.

RESOLUTION NO. 2022-08

SUBMITTAL OF A HAZARDOUS MOVING VIOLATION ENFORCEMENT GRANT APPLICATION

WHEREAS, the City of Clayton Board of Aldermen deems it desirable to engage in a Hazardous Moving Violation Enforcement Program, specifically targeting Aggressive Drivers

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF ALDERMEN OF THE CITY OF CLAYTON, MISSOURI, THAT:

1. A grant application will be prepared and submitted to the Traffic and Highway Safety Division of the Missouri Department of Transportation, MoDOT, for a 2022-2023 Hazardous Moving Violation Enforcement Grant.
2. The Board of Aldermen hereby authorizes appropriate officials to sign and execute the necessary documents to submit the grant application, and if the grant is awarded, to sign the project agreement, and subsequent amendments and other necessary documents between the City of Clayton and the Missouri Department of Transportation, Traffic and Highway Safety Division.

Adopted this 15th day of March 2022.

Mayor

ATTEST:

City Clerk



**Highway Safety and Traffic Division
TRAFFIC ENFORCEMENT APPLICATION
October 01, 2022 through September 30, 2023**

Highway Safety and Traffic Division
P.O. Box 270
830 MoDOT Drive
Jefferson City, MO 65102

(Application due by March 01, 2022)

Agency:	Clayton Police Dept.	Agency ORI#:	MO0951400				
Address:	10 South Brentwood Blvd.	Federal Tax ID#:	43-6000774				
		DUNS #:	CCT7ZVLNB7J9				
City:	Clayton	State:	MO	Zip:	631051620	County:	St. Louis
Phone:	314-290-8405	Fax:	314-863-0285				
Contact:	Officer Frank Chaitman	Email:	fchaitman@claytonmo.gov				
Jurisdiction:	Urban	Jurisdiction Population:	15,939				
Targeted Population:	Aggressive Drivers						

Project activity for which your agency is requesting funding:

Hazardous Moving Violation

Project Title:	HMV Enforcement	Requested Amount:	\$9,605.60
Brief Description:	Hazardous Moving Violation Enforcement		

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Authorizing Official

Al Thuet 255

Authorizing Official Signature

Captain
Authorizing Official Title

PROBLEM IDENTIFICATION

Speed and aggressive driving can be any one of us, when we make the choice to drive over the speed limit; change lanes several times in a short distance and/or follow too closely. Aggressive driving is a costly decision, often made in an instant, but can have lifelong consequences. According to the National Highway Traffic Safety Administration, aggressive driving is when an individual commits a combination of moving traffic offenses so as to endanger other persons or property. During the last five years (2015-2019), the combination of aggressive driving behaviors contributed to 53 percent of fatalities and 44 percent of serious injuries in Missouri. Speed-related conditions, including exceeding the speed limit and too fast for conditions, accounted for the most fatalities of all aggressive driving behaviors. Thirty-six percent of all Missouri fatalities over the last five years were speed related.

The reduction of traffic crashes on the roadways within the City of Clayton is a priority for the Clayton Police Department. The City of Clayton is a densely populated urban area. It has a network of bike lanes and pedestrian walkways which are attached to major roadways. The risk of personal injury is greater when pedestrian and cyclist traffic is mixed with vehicular traffic. The result is an increase in traffic complaints, congestion and collisions. Any collision involving a motor vehicle with a pedestrian or cyclist has substantially greater potential for serious physical injury or death of involved parties. The most frequently occurring contributing circumstances are hazardous moving violations.

An increased enforcement of hazardous moving violations in the area where there is high pedestrian and pedal cyclist traffic could decrease the number of accidents involving pedestrians and pedal cyclists.

A portion of Interstate I-170 passes through the City of Clayton. According to MoDOT's 2019 St. Louis District Traffic Volume and Commercial Vehicle Count Map (most recent data), the City of Clayton's portion of I-170 accommodates approximately 81,362 vehicles a day. Interstate 170 has approximately 21.6% of all crashes investigated by the Clayton Police Department. It is common to have secondary and tertiary crashes due to the congestion caused by the primary crash. The interstate, as well as city streets, need hazardous moving violations monitored and enforced to reduce the potential for injury crashes.

GOALS/OBJECTIVES

Core Performance Measure Goals

1. Based on a goal of 0 fatalities by 2030, Missouri is projecting a five-year average target of 361.0 speed related fatalities by December 31, 2022.

The Clayton Police Department has been determined in its efforts to address aggressive driving . The first goal of the Clayton Police Department is to reduce incidents of traffic crashes as a whole . A measurable and achievable approach will be the enforcement of hazardous moving violations. Enforcement will lower the incidence of aggressive driving related vehicle crashes. In addition to vehicle versus vehicle accidents the Clayton Police Department investigates many vehicle versus pedestrian or pedacycle crashes. A second, but equally important, goal for the police department is to reduce the amount of pedestrian involved accidents. The measurement will occur by comparing the end of the grant cycle's data, 2021/2022 to the previous years 2020/2021.

The data and statistics for the comparison will be derived from the publicly available Missouri State Highway Patrol Crash Statistics. A secondary source for data and statistics will be the internal statistics the Clayton Police Department collects .

PROJECT DESCRIPTION

The officers will be on overtime brought in on their days off to address solely the problems related to this safety plan . They will conduct patrol and traffic enforcement targeting drivers that commit hazardous moving violations , focusing on the top contributing circumstances of following to closely, improper lane use, speeding and failure to yield. The unit cost of the officers is derived from the average overtime rate of patrolman and sergeant ranks . Any administrative costs (dispatch costs, fringe benefits, corrections, etc.) will be paid by the Clayton Police Department. The quantity of hours worked is derived from 2 officers working overtime shifts during each month of the grant cycle . The officers will be working during the time periods identified as having the highest number of aggressive driving related crashes

The second approach will directly address issue of speed in relation to traffic crashes in the State of Missouri . In 2021, 9.1% of traffic crashes had speed as factor, an increase of 2.6% since 2019. Clayton Police Officers will use RADAR and LIDAR devices to detect speeders and enforce speed limits. By following this plan in previous years the Clayton Police Department lowered Speed related crashes from a peak of 9.7% in 2014, 6% in 2015, 4.5% in 2016, 7.8% in 2017, 7.2 in 2018,

Beginning during the 2016-2017 Grant Cycle, the Clayton Police Department began utilizing Facebook, through the City of Clayton's Facebook page. The Clayton Police Department uses the page to post for awareness of enforcement activities and educational statistics regarding traffic safety. The Clayton Police Department has continued to utilize social media for awareness and will continue it's efforts in the 2022-2023 grant period.

SUPPLEMENTAL INFORMATION

<u>Question</u>	<u>Answer</u>
You must answer the following questions.	
1 Does your agency have and enforce an internal safety belt policy for all personnel?	Yes
2 Does your agency have and enforce a policy restricting cell phone use while driving?	Yes
3 Does your agency report racial profiling data annually?	Yes
4 Does your agency report to STARS?	Yes
5 Does your agency report UCR information annually?	Yes
6 Please explain any NO answer(s) to questions 1-5:	
7 Have any of your officers/personnel been debarred and are therefore not eligible to receive federal funds for reimbursement of salary, fringe benefits, or overtime?	No
8 Does your agency have adequate manpower to fully expend the funds requested in this application?	Yes
9 If NO, please explain.	
10 Have any significant changes occurred with your agency within the last year that would affect performance, including personnel or system changes?	Yes
11 If YES, please explain. The Clayton Police Department is currently down 7 positions. (14% of the authorized manpower). The department has 4 current vacancies and 3 officers out on medical leave. It is anticipated that the vacancies and injured officers will return to duty later this year.	
12 Are you aware of any fraud, waste or abuse on grant projects in your office/agency within the last 5 years?	No
13 If YES, please explain.	
14 If your agency received Highway Safety grant funding in the last three (3) fiscal years and there were unexpended balances, please explain why. Due to manpower issues and Covid, not all of the funding was spent in 2021. It is anticipated that current hiring should alleviate this issue.	
15 Did your political entity receive more than 80% of its annual gross revenues in Federal Awards in your preceding fiscal year?	No
16 Did your political entity receive \$25,000,000 or more in Federal Awards in your preceding fiscal year?	No

17 If you answered NO to either question 15 and 16, DO NOT answer this question. If you answered YES to both question 15 and 16, and the public does not have access to this information, list the names and compensation amounts of the five most highly compensated employees in your business or organization (the legal entity to which the DUNS number it provided belongs).

Please use the most current 12-months of data available for answering questions 18-23. INCLUDE ALL OF YOUR AGENCY'S STATISTICS, NOT JUST THOSE ISSUED DURING GRANT ACTIVITY.

18 Total number of DWI violations written by your agency.	24
19 Total number of speeding citations written by your agency.	408
20 Total number of HMV citations written by your agency.	456
21 Total number of child safety/booster seat citations written by your agency.	11
22 Total number of safety belt citations written by your agency.	27
23 Total number of warnings issued.	1837

Use the most current three years crash data from the Missouri State Highway Patrol (MSHP) or your internal record management system for questions 24-34.

24 Total number of traffic crashes.	1451
25 Total number of traffic crashes resulting in a fatality.	2
26 Total number of traffic crashes resulting in a serious injury.	20
27 Total number of speed-related traffic crashes.	126
28 Total number of speed-related traffic crashes resulting in a fatality.	1
29 Total number of speed-related traffic crashes resulting in a serious injury.	6
30 Total number of alcohol-related traffic crashes.	30
31 Total number of alcohol-related traffic crashes resulting in a fatality.	0
32 Total number of alcohol-related traffic crashes resulting in a serious injury.	1
33 Total number of unbuckled fatalities.	0
34 Total number of unbuckled serious injuries.	1

Enter your agency's information below.

35 Total number of commissioned law enforcement officers.	50
36 Total number of commissioned patrol and traffic officers.	32

37 Total number of commissioned law enforcement officers available for overtime enforcement.	44
38 Total number of vehicles available for enforcement.	13
39 Total number of radars/lasers.	12
40 Total number of in-car video cameras.	10
41 Total number of PBTs.	10
42 Total number of Breath Instruments.	1

The following information explains the strategies your agency will use to address the traffic crash problem. This information is considered to be the Project Description and should be specific to the crash problem.

43 Identify primary enforcement locations.

During the grant period, the primary enforcement locations will be those with the most traffic crashes and the most traffic volume. The secondary enforcement locations will target areas with high pedestrian traffic. The highway with the most traffic crashes is I-170. The county roads with the most crashes are Forest Park Parkway, Hanley Road, Clayton Road, and Big Bend Blvd. The city roads with the most crashes are Forsyth, Maryland, and S. Brentwood. The areas with high pedestrian traffic will include subdivisions and the Central Business District.

44 Enter the number of enforcement periods your agency will conduct each month. 2

45 Enter the months in which enforcement will be conducted.

The enforcement will be conducted during every month of the grant cycle for a total of 12 months. If special circumstances prohibit the ability to conduct an enforcement detail during the month, the detail will be made up the following month, in addition to the regularly scheduled detail, as funding allows.

46 Enter the days of the week in which enforcement will be conducted.

The enforcement will occur during the business week, Monday through Friday. Approximately 83% of all accidents occur during the business week. That is also the peak traffic volume for the City of Clayton.

47 Enter the time of day in which enforcement will be conducted.

A larger window for enforcement is required to target violators during both the peak traffic times and the highest incidence of crash times. The available enforcement window will be between 7:00 AM and 7:00 PM to impact the most hazardous drivers. The patrol commander will select which section of the window to operate to target violators most effectively for each enforcement period.

48 Enter the number of officers assigned during the enforcement period. 1

49 If equipment or supplies are requested to conduct this project, explain below why it is needed and how it will be used.

N/A

PROJECT EVALUATION

The MHTC will administratively evaluate this project. Evaluation will be based, at a minimum, upon the following:

1. Law enforcement compliance with state UCR, Racial Profiling, and STARS reporting requirements (law enforcement contracts only)
2. Timely submission of monthly reimbursement vouchers and appropriate documentation to support reimbursement for expenditures (i.e., personal services, equipment, materials)
3. Timely submission of periodic reports (i.e., monthly, quarterly, semi-annual) as required
4. Timely submission of the Year End Report of activity (due within 30 days after contract completion date)
5. Attaining the Goals set forth in this contract*
6. Accomplishing the Objectives* established to meet the project Goals, such as:
 - Enforcement activities (planned activities compared with actual activities)
 - Programs (number and success of programs held compared to planned programs, evaluations if available)
 - Training (actual vs. anticipated enrollment, student evaluations of the class, student test scores on course examinations, location of classes, class cancellation information)
 - Equipment purchases (timely purchase of equipment utilized to support and enhance the traffic safety effort ; documentation of equipment use and frequency of use)
 - Public awareness activities (media releases, promotion events, or education materials produced or purchased)
 - Other (any other information or material that supports the Objectives)
7. The project will be evaluated by the Highway Safety and Traffic Division through annual crash analysis .

Evaluation results will be used to determine:

- The success of this type of activity in general and this particular project specifically ;
- Whether similar activities should be supported in the future; and
- Whether grantee will receive funding for future projects.

*Evaluation and requests to fund future projects will not be based solely on attaining Goals and/or Objectives if satisfactory justification is provided.

The Clayton Police Department will evaluate its goals of the reduction of crashes as well as vehicle versus pedestrian and pedacycle crashes. The data used to evaluate the success of those goals will be the publicly available Missouri State Highway Patrol Crash Statistics and the Clayton Police Department's internal crash statistics.

ADDITIONAL FUNDING SOURCES

Missouri Safety Center Mini Grants for DWI Enforcement, Child Seat, Seatbelt and Occupant Protection Enforcement:
\$500-\$2000 each, throughout the calendar year.

Office of Justice Bulletproof Vest Partnership Program: Contract End Date: 08/2021.
2021 Eligible Expenses are: \$2,475.

2021 MoDOT Traffic Safety-Hazardous Moving Violation Grant \$7,620.00
2021 MoDOT Traffic Safety-DWI Grant \$2,240.00

BUDGET

Category	Item	Description	Quantity	Unit Cost	Total	Match	Total Requested
Personnel							
	Overtime and Fringe	Officer Overtime (2 shifts per month, 5 hour shifts) Approximately 120 hours of enforcement.	1	\$7,905.60	\$7,905.60	\$0.00	\$7,905.60
					\$7,905.60	\$0.00	\$7,905.60
Training							
	Professional Development	Letsac Conference fees and expenses	2	\$850.00	\$1,700.00	\$0.00	\$1,700.00
					\$1,700.00	\$0.00	\$1,700.00
Total Contract					\$9,605.60	\$0.00	\$9,605.60

ATTACHMENTS

Document Type

Description

Original File Name

Date Added



City Manager
10 N. Bemiston Avenue
Clayton, MO 63105

REQUEST FOR BOARD ACTION

TO: MAYOR HARRIS; BOARD OF ALDERMEN
FROM: DAVID GIPSON, CITY MANAGER (CSO)
MARK J. SMITH, CHIEF OF POLICE
DATE: MARCH 2, 2022
SUBJECT: RESOLUTION – SUBMITTAL OF A DRIVING WHILE INTOXICATED
ENFORCEMENT GRANT APPLICATION

Substance-impaired drivers contributed to 22 percent of Missouri's traffic crash fatalities during the past five years. Alcohol remains the primary contributor to substance-impaired driving crashes; however, the number of persons under the influence of prescription medications and/or illicit drugs continues to increase. Male drivers were more likely than females to be involved in substance-impaired driving crashes. During the past five years, males were responsible for 80.2 percent of substance-impaired driving fatalities. Nine percent of the children less than 15 years of age, who were killed in motor vehicle crashes over the last five years, were riding with a substance-impaired driver.

The Clayton Police Department is currently ranked #44 among cities in the State of Missouri for drinking involved crashes for 2018-2020. In the City of Clayton Drinking Involved Crashes result in an injury to at least one person 2.251% of the time. The City of Clayton is ranked #17 in the State for Disabling Injury in drinking related crashes.

Geographically the City of Clayton has most of its bars and restaurants concentrated in an area the city calls its "Central Business District". The Central Business District is a popular destination for citizens due to its ease of walk ability from restaurant to restaurant. The potential of serious physical injury resulting from drinking involved crashes is amplified by the presence of pedestrians on or near the roadways.

The Clayton Police Department is aggressive in its prevention of drinking involved crashes. The Clayton Police Department made 125 DWI arrests during the reporting period of 2018-2020. During the last 12 months (1/2021-12/2021) the Clayton Police Department made 24 DWI arrests.

The Clayton Police Department intends to seek a MoDOT grant to assist the Department in addressing the issue of driving while intoxicated in a multifaceted approach.

1. Participation in the National Impaired Driving Crackdown Campaign.
2. Participation in the quarterly impaired driving enforcement campaigns

3. Develop and implement a high visibility DWI enforcement plan involving saturation patrols with the specific objective to stop and/or apprehend impaired drivers before they cause a crash and injury (ies)/death(s).

Recommendation: To approve the resolution authorizing submittal of the grant application.

RESOLUTION NO. 2022-09

SUBMITTAL OF A DRIVING WHILE INTOXICATED ENFORCEMENT GRANT APPLICATION

WHEREAS, the City of Clayton Board of Aldermen deems it desirable to engage in a Driving While Intoxicated Enforcement Program

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF ALDERMEN OF THE CITY OF CLAYTON, MISSOURI, THAT:

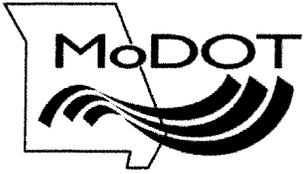
1. A grant application will be prepared and submitted to the Traffic and Highway Safety Division of the Missouri Department of Transportation, MoDOT, for a 2022-2023 Driving While Intoxicated Enforcement Grant.
2. The Board of Aldermen hereby authorizes appropriate officials to sign and execute the necessary documents to submit the grant application, and if the grant is awarded, to sign the project agreement, and subsequent amendments and other necessary documents between the City of Clayton and the Missouri Department of Transportation, Traffic and Highway Safety Division.

Adopted this 15th day of March 2021.

Mayor

ATTEST:

City Clerk



**Highway Safety and Traffic Division
TRAFFIC ENFORCEMENT APPLICATION
October 01, 2022 through September 30, 2023**
(Application due by March 01, 2022)

Highway Safety and Traffic Division
P.O. Box 270
830 MoDOT Drive
Jefferson City, MO 65102

Agency:	Clayton Police Dept.	Agency ORI#:	MO0951400				
Address:	10 South Brentwood Blvd.	Federal Tax ID#:	43-600774				
		DUNS #:	CCT7ZVLNB7J9				
City:	Clayton	State:	MO	Zip:	631051620	County:	St. Louis
Phone:	314-290-8405	Fax:	314-863-0285				
Contact:	Officer Frank Chaitman	Email:	fchaitman@claytonmo.gov				
Jurisdiction:	Urban	Jurisdiction Population:	15,939				
Targeted Population:	Impaired Drivers						

Project activity for which your agency is requesting funding:

DWI Enforcement

Project Title:	DWI Saturation Enforcement	Requested Amount:	\$2,635.20
Brief Description:	DWI Enforcement		

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Authorizing Official

Al Thuet

Authorizing Official Signature

Captain

Authorizing Official Title

PROBLEM IDENTIFICATION

Substance-impaired drivers contributed to 22.0 percent of Missouri's traffic crash fatalities during the past five years. Alcohol remains the primary contributor to substance-impaired driving crashes; however, the number of persons under the influence of prescription medications and/or illicit drugs continues to increase. Male drivers were more likely than females to be involved in substance-impaired driving crashes. During the past five years, males were responsible for 80.2 percent of substance-impaired driving fatalities. Nine percent of the children less than 15 years of age, who were killed in motor vehicle crashes over the last five years, were riding with a substance-impaired driver who often was an underage-impaired driver.

The Clayton Police Department is currently ranked #44 among cities in the State of Missouri for drinking involved crashes for 2018-2020. In the City of Clayton Drinking Involved Crashes result in an injury to at least one person 2.251% of the time. The City of Clayton is ranked #17 in the State for Disabling Injury in drinking related crashes.

Geographically the City of Clayton has most of its bars and restaurants concentrated in an area the city calls it's "Central Business District". The Central Business District is a popular destination for citizens due to its ease of walk ability from restaurant to restaurant. The potential of serious physical injury resulting from drinking involved crashes is amplified by the presence of pedestrians on or near the roadways.

GOALS/OBJECTIVES

Core Performance Measure Goals

1. Based on a goal of 0 fatalities by 2030, Missouri is projecting a five-year average target of 222.8 alcohol-impaired driving involved fatalities by December 31, 2022.

Geographically the City of Clayton has most of its bars and restaurants concentrated in an area the city calls it's "Central Business District". The Central Business District is a popular destination for citizens due to its ease of walk ability from restaurant to restaurant. The potential of serious physical injury resulting from drinking involved crashes is amplified by the presence of pedestrians on or near the roadways.

The Clayton Police Department is aggressive in its prevention of drinking involved crashes. The Clayton Police Department made 130 DWI arrests during the reporting period of 2019-2021. During the last 12 months (1/2021-12/2021) the Clayton Police Department made 24 DWI arrests.

The Clayton Police Department saw a decrease in DWI arrests from 35 in 2020 to 24 in 2021 which is due to the COVID Pandemic. Clayton saw no increase in alcohol related crashes from 6 in 2020 to 6 in 2021. In 2020, Clayton had 3 injuries in the 6 alcohol related crashes.

PROJECT DESCRIPTION

The Clayton Police Department has been determined in its efforts to address impaired drivers . The goal of the Clayton Police Department is to reduce the number of impaired drivers traveling within the City of Clayton. The Clayton Police Department will attempt to reduce the hazards caused by alcohol and impaired drivers by saturation patrol activities. The objective is to stop and/or apprehend impaired drivers before they can cause a crash and injury. The measurement will occur by comparing the end of the grant cycles data, 2022-2023, to the previous years, 2020-2021 and 2021-2022. We will compare against multiple years due to the drastic changes we saw in statistics during the COVID Pandemic.

The data and statistics for the comparison will be derived from the publicly available Missouri State Highway Patrol Crash Statistics. A secondary source for data and statistics will be the internal statistics the Clayton Police Department collects .

SUPPLEMENTAL INFORMATION

<u>Question</u>	<u>Answer</u>
You must answer the following questions.	
1 Does your agency have and enforce an internal safety belt policy for all personnel?	Yes
2 Does your agency have and enforce a policy restricting cell phone use while driving?	Yes
3 Does your agency report racial profiling data annually?	Yes
4 Does your agency report to STARS?	Yes
5 Does your agency report UCR information annually?	Yes
6 Please explain any NO answer(s) to questions 1-5:	
7 Have any of your officers/personnel been debarred and are therefore not eligible to receive federal funds for reimbursement of salary, fringe benefits, or overtime?	No
8 Does your agency have adequate manpower to fully expend the funds requested in this application?	Yes
9 If NO, please explain.	
10 Have any significant changes occurred with your agency within the last year that would affect performance, including personnel or system changes?	No
11 If YES, please explain.	
12 Are you aware of any fraud, waste or abuse on grant projects in your office/agency within the last 5 years?	No
13 If YES, please explain.	
14 If your agency received Highway Safety grant funding in the last three (3) fiscal years and there were unexpended balances, please explain why. Due to Covid restrictions and manpower issues, not all the grant money was expended. Increased hiring should help alleviate this problem.	
15 Did your political entity receive more than 80% of its annual gross revenues in Federal Awards in your preceding fiscal year?	No
16 Did your political entity receive \$25,000,000 or more in Federal Awards in your preceding fiscal year?	No
17 If you answered NO to either question 15 and 16, DO NOT answer this question. If you answered YES to both question 15 and 16, and the public does not have access to this information, list the names and compensation amounts of the five most highly compensated employees in your business or organization (the legal entity to which the DUNS number it provided belongs).	

Please use the most current 12-months of data available for answering questions 18-23. INCLUDE ALL OF YOUR AGENCY'S STATISTICS, NOT JUST THOSE ISSUED DURING GRANT ACTIVITY.

18 Total number of DWI violations written by your agency.	24
19 Total number of speeding citations written by your agency.	408
20 Total number of HMV citations written by your agency.	456
21 Total number of child safety/booster seat citations written by your agency.	11
22 Total number of safety belt citations written by your agency.	27
23 Total number of warnings issued.	1837

Use the most current three years crash data from the Missouri State Highway Patrol (MSHP) or your internal record management system for questions 24-34.

24 Total number of traffic crashes.	1451
25 Total number of traffic crashes resulting in a fatality.	2
26 Total number of traffic crashes resulting in a serious injury.	20
27 Total number of speed-related traffic crashes.	126
28 Total number of speed-related traffic crashes resulting in a fatality.	1
29 Total number of speed-related traffic crashes resulting in a serious injury.	6
30 Total number of alcohol-related traffic crashes.	30
31 Total number of alcohol-related traffic crashes resulting in a fatality.	0
32 Total number of alcohol-related traffic crashes resulting in a serious injury.	1
33 Total number of unbuckled fatalities.	0
34 Total number of unbuckled serious injuries.	1

Enter your agency's information below.

35 Total number of commissioned law enforcement officers.	50
36 Total number of commissioned patrol and traffic officers.	32
37 Total number of commissioned law enforcement officers available for overtime enforcement.	44
38 Total number of vehicles available for enforcement.	13

39 Total number of radars/lasers.	12
40 Total number of in-car video cameras.	10
41 Total number of PBTs.	10
42 Total number of Breath Instruments.	1

The following information explains the strategies your agency will use to address the traffic crash problem. This information is considered to be the Project Description and should be specific to the crash problem.

43 Identify primary enforcement locations.

The primary enforcement locations will consist of the main arterial roadways which pass through the City of Clayton. These roadways include county roads, Hanley Rd., Clayton Rd., and Big Bend Blvd. Secondary enforcement will consist of city streets near the Central Business District. Those streets consist of Forsyth, Bemiston, Central, Meramec, Maryland and Brentwood.

44 Enter the number of enforcement periods your agency will conduct each month. 1

45 Enter the months in which enforcement will be conducted.

The enforcement period is based on the Clayton Police Department's internal statistics of DWI arrests and crashes. The months which will make the most impact on impaired drivers are March through September.

46 Enter the days of the week in which enforcement will be conducted.

The days of the week for the enforcement period will consist of Wednesday through Sunday. The occurrence of popular alcohol related events or holidays may change the enforcement date

47 Enter the time of day in which enforcement will be conducted.

The time of the enforcement period will consist of 8:00PM to 4:00 AM. The patrol commander will select a time frame within this window to conduct the 5 hours saturation patrol.

48 Enter the number of officers assigned during the enforcement period. 1

49 If equipment or supplies are requested to conduct this project, explain below why it is needed and how it will be used.

None requested.

PROJECT EVALUATION

The MHTC will administratively evaluate this project. Evaluation will be based, at a minimum, upon the following:

1. Law enforcement compliance with state UCR, Racial Profiling, and STARS reporting requirements (law enforcement contracts only)
2. Timely submission of monthly reimbursement vouchers and appropriate documentation to support reimbursement for expenditures (i.e., personal services, equipment, materials)
3. Timely submission of periodic reports (i.e., monthly, quarterly, semi-annual) as required
4. Timely submission of the Year End Report of activity (due within 30 days after contract completion date)
5. Attaining the Goals set forth in this contract*
6. Accomplishing the Objectives* established to meet the project Goals, such as:
 - Enforcement activities (planned activities compared with actual activities)
 - Programs (number and success of programs held compared to planned programs, evaluations if available)
 - Training (actual vs. anticipated enrollment, student evaluations of the class, student test scores on course examinations, location of classes, class cancellation information)
 - Equipment purchases (timely purchase of equipment utilized to support and enhance the traffic safety effort; documentation of equipment use and frequency of use)
 - Public awareness activities (media releases, promotion events, or education materials produced or purchased)
 - Other (any other information or material that supports the Objectives)
7. The project will be evaluated by the Highway Safety and Traffic Division through annual crash analysis.

Evaluation results will be used to determine:

- The success of this type of activity in general and this particular project specifically;
- Whether similar activities should be supported in the future; and
- Whether grantee will receive funding for future projects.

*Evaluation and requests to fund future projects will not be based solely on attaining Goals and/or Objectives if satisfactory justification is provided.

The Clayton Police Department will attempt to reduce the number of impaired drivers traveling within the City of Clayton. The funds will be used to pay overtime for qualified police officers to conduct saturation patrols. Analysis of the alcohol related arrest and crash statistics from the period of 2020-2021 indicate a higher percentage of arrests and crashes occur Wednesday through Friday. In 2021, Clayton saw an increase in alcohol related arrests and crashes occurring during the week. The most common times are between 8:00 PM and 4:00AM.

The patrol officers will conduct their patrols during these time frames. The patrol commander will select which portion of the enforcement window to conduct the saturation patrols. The patrol commander may conduct special saturations during select holidays that are popular for impaired driving. This proposal consists of 10 saturation patrols over the course of the grant period. Each patrol will last 5 hours in duration.

The above listed statistics of DWI arrests is in direct correlation with the occurrence of drinking involved crashes during 2018-2021. The unit cost is derived of an average overtime rate between qualified police officers and sergeants.

ADDITIONAL FUNDING SOURCES

Missouri Safety Center Mini Grants for DWI Enforcement, Child Seat, Seatbelt and Occupant Protection Enforcement:
\$500-\$2000 each, throughout the calendar year.

Office of Justice Bulletproof Vest Partnership Program: Contract End Date: 08/2021.
2021 Eligible Expenses are: \$2,475.

2021 MoDOT Traffic Safety-Hazardous Moving Violation Grant \$7,620
2021 MoDOT Traffic Safety-DWI Grant \$2,240

BUDGET

Category	Item	Description	Quantity	Unit Cost	Total	Match	Total Requested
Personnel							
	Overtime and Fringe	Officer Overtime	40	\$65.88	\$2,635.20	\$0.00	\$2,635.20
					\$2,635.20	\$0.00	\$2,635.20
Total Contract					\$2,635.20	\$0.00	\$2,635.20

ATTACHMENTS

Document Type

Description

Original File Name

Date Added

